

18/11/4616 / 298(x11)



COMPANIES HOUSE

363s

# Annual Return

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3UZ



of company number 00846922

Q

company name  
LEHMAN BROTHERS LIMITED

company type  
PRIVATE COMPANY LIMITED BY SHARES

This form should be completed in black.

The information printed below is taken from Companies House records as at 24/03/95  
If this information requires amendment use the spaces opposite.

## Date of this return (See note 1)

The information in this return should be made up to a date not later than

| Day | Month | Year |
|-----|-------|------|
| 14  | 04    | 95   |

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

## Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

## Registered Office (See note 3)

This is the address registered by Companies House.

ONE BROADGATE  
LONDON  
EC2M 7HA

## Principal business activities (See note 4)

Trade classification is  
8659 OTHER BUSINESS SERVICES

|  |  |  |  |  |  |  |  |  |  |
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If the code cannot be determined from the notes, give a brief description of principal activity.

**Register of members** (See note 5)

00846922

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

The register is kept at

REGISTERED OFFICE

**Register of debenture holders** (See note 6)

Any register of debenture holders (or duplicate) is kept at

**Company Secretary** (See note 7)

Particulars of a new secretary must be notified on form 288.

PETER ROBERT  
SHERRATT  
ARK END MALLOWS GREEN ROAD  
MANUDEN  
BISHOPS STORTFORD  
HERTFORDSHIRE CM23 1BP

Day Month Year

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|--|--|--|--|
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|--|--|--|--|

Date of any change.

If this person has ceased to be secretary, please state when.

Day Month Year

|  |  |  |  |
|--|--|--|--|
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|--|--|--|--|

Date of resignation.

**Directors** (See note 7)

Particulars of a new director must be notified on form 288.

OLIVER RICHARD  
BACKHOUSE  
HIGHCROFT 141 QUICKLEY LANE  
CHORLEYWOOD  
RICKMANSWORTH  
HERTFORDSHIRE WD3 5PD

Day Month Year

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|--|--|--|--|
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|--|--|--|--|

Date of any change.

Date of Birth:- 18/07/41

Nat: BRITISH

Occ: ~~DIRECTOR - REGULATORY ACCOUNTING~~

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

EXECUTIVE DIRECTOR - REGULATORY ACCOUNTING

Day Month Year

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|--|--|--|--|

Date of resignation.

CURRENT: LEHMAN BROTHERS EBS LIMITED  
LEHMAN BROTHERS HOLDINGS PLC

## Directors - continued

00846922

Particulars.

PAUL  
CUTHBERT-BROWN  
CHILTERN HOUSE  
LEE COMMON  
GREAT MISSENDEN  
BUCKINGHAMSHIRE HP16 9LD

Date of Birth:- 29/07/61  
Nat:BRITISH  
Occ:INVESTMENT BANKER

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

If the information shown needs amendment, give  
details below and the date of any change.

Day Month Year

|  |  |  |
|--|--|--|
|  |  |  |
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Date of any change.

Day Month Year

|    |    |    |
|----|----|----|
| 23 | 03 | 95 |
|----|----|----|

Date of resignation.

Particulars.

NIGEL  
GLAISTER  
SWAN COTTAGE  
GEDGES HILL  
MATFIELD  
KENT TN12

Date of Birth:- 12/12/60  
Nat:BRITISH  
Occ:DIRECTOR-OPERATIONS

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

CURRENT: MESSEL NOMINEES LIMITED

Particulars.

BRUCE RICHARD  
LAKEFIELD  
60 SHEFFIELD TERRACE  
LONDON  
W8 7NA

Date of Birth:- 29/01/44  
Nat:BRITISH  
Occ:CHAIRMAN AND CHIEF EXECUTIVE

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

NAT: AMERICAN  
CHAIRMAN AND CHIEF EXECUTIVE - EUROPE  
CURRENT: LEHMAN BROTHERS EBS LIMITED  
LEHMAN BROTHERS HOLLANDS PBC  
(see continuation sheet)

## Directors - continued

00846922

Particulars.

DANIEL ANTHONY  
MCHUGH  
20 DENBIGH ROAD  
LONDON  
W11 2SN

Date of Birth:- 14/02/56  
Nat:AMERICAN  
Occ:CHIEF FINANCIAL OFFICER

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

If the information shown needs amendment, give  
details below and the date of any change.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of any change.

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| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of resignation.

CURRENT: LEHMAN BROTHERS EBS LIMITED  
LEHMAN BROTHERS HOLDINGS PLC  
(SEE CONTINUATION SHEET)

Particulars.

BRENDAN HILARY  
WILLIAMS  
SHERWOOD MOUNT  
UPLANDS ROAD  
KENLEY  
SURREY CR8 5EF

Date of Birth:- 20/04/58  
Nat:BRITISH  
Occ:MANAGING DIRECTOR TRADING SERV

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of any change.

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| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of resignation.

CURRENT: LEHMAN BROTHERS EBS LIMITED  
LEHMAN BROTHERS HOLDINGS PLC  
(SEE CONTINUATION SHEET)

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of any change.

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| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of resignation.

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

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.....

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Directors - continued  
Particulars.

00846922

If the information shown needs amendment, give details below and the date of any change.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of resignation.

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of any change.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of resignation.

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of any change.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

| Day | Month | Year |
|-----|-------|------|
|     |       |      |

Date of resignation.

16-05-95

Issued Share Capital (See note 8)

00846922

Enter details of all shares in issue at the date of this return.

| Class<br>(eg Ordinary/<br>Preference etc.) | Number of<br>shares issued | Aggregate<br>nominal value<br>(ie Number of shares<br>issued multiplied by<br>nominal value per share) |
|--|----------------------------|--|
| US\$1 ORDINARY SHARES                      | 0                          | £ 0.00   |
| ORDINARY SHARES                            | 12625000                   | £ 12625000.00  |
|  |                            |  |
|  |                            |  |
| Totals                                     | 12625000                   | £ 12625000.00  |

## List of past and present members

(See note 9)

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

Please mark the  
appropriate box.

There were no changes in the period ☒

The last full members list was at 14/04/94

|                                    |                          |                          |
|------------------------------------|--------------------------|--------------------------|
|                                    | on paper                 | not on paper             |
| A list of changes is enclosed      | <input type="checkbox"/> | <input type="checkbox"/> |
| A full list of members is enclosed | <input type="checkbox"/> | <input type="checkbox"/> |

**Elective resolutions** (See note 10)  
(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box. ☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box. ☐

## Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of £18 .

Cheques should be made payable to Companies House.

Signed

*Margaret Smith*  
Asst. Secretary/Director

\*(delete as appropriate)

Date

9/5/95

This return includes 1 continuation sheets  
(enter number)

Please ensure that you have completed all sections on this page.

To whom should Companies House direct any enquiries about the information shown in this return?

MARGARET SMITH

LEHMAN BROTHERS, LEGAL DEPT.

ONE BROADGATE

LONDON

Postcode EC2M 7HA

Company number (846922)

LEHMAN BROTHERS LIMITED

363x page 3 continuation sheet. Directors former names and other directorships

BRUCE RICHARD LAKEFIELD

OTHER CURRENT DIRECTORSHIPS

LEHMAN BROTHERS INTERNATIONAL (EUROPE)

MESSEL NOMINEES LIMITED

BRENDAN HILARY WILLIAMS

OTHER CURRENT DIRECTORSHIPS

LEHMAN BROTHERS INTERNATIONAL (EUROPE)

DANIEL ANTHONY MCHUGH

OTHER CURRENT DIRECTORSHIPS

LEHMAN BROTHERS INTERNATIONAL (EUROPE)

OLIVER RICHARD BACKHOUSE

OTHER CURRENT DIRECTORSHIPS

LEHMAN BROTHERS INTERNATIONAL (EUROPE)

MESSEL NOMINEES LIMITED