

M

COMPANIES FORM No. 395

Particulars of a mortgage or charge

395

Please do not
write in
this margin

Pursuant to section 395 of the Companies Act 1985

Please complete
legibly, preferably
in black type, or
bold block lettering

To the Registrar of Companies
(Address overleaf—Note 5)

For official use

Company number

A

814782

Name of company

* DUNCAN POCOCK (INSURANCE BROKERS) LIMITED

* insert full name
of company

Date of creation of the charge

1st November 1995

Description of the instrument (if any) creating or evidencing the charge (note 2)

CHARGE OVER DEPOSIT (the "Charge") made between Duncan Pocock (Insurance Brokers) Limited (the "Company") (1) and 3i plc ("3i") (2)

Amount secured by the charge

ALL moneys and liabilities now or at any time or times hereafter due, owing or incurred by the Company to 3i when the same become due for payment or discharge whether by acceleration or otherwise, and whether such moneys, obligations or liabilities are express or implied, present, future or contingent, joint or several, incurred as principal or surety, originally owing to 3i or purchased or otherwise acquired by it or incurred on any banking account or in any matter whatsoever.

Such liabilities shall, without limitation, include interest (as well as before judgement) to date of payment at such rates and upon such terms as may from time to time be agreed in writing by 3i and the Company, commission, fees and other charges and all legal and other costs, charges and expenses on a full and unqualified indemnity basis which may be incurred by 3i in relation to any such moneys, obligations or liabilities in respect of the Company (together the "Liabilities")

Names and addresses of the chargees or persons entitled to the charge

3i plc whose registered office is at 91 Waterloo Road, London

Postcode

SE1 8XP

Presentor's name address and
reference (if any):

Booth & Co.
Sovereign House
South Parade
Leeds LS1 1HQ
Ref: RNP/NBa

Time critical reference

For Official Use
Mortgage Section

Post Room



Short particulars of all the property charged

Please do not
write in
this margin

Please complete
legibly, preferably
in black type, or
bold block lettering

All sums (whether of principal, interest or otherwise) at the date of the Charge or thereafter standing to the credit of account number 7510903 of the Company with Lloyds Bank Plc, Camberwell Green Branch, 25 Camberwell Green, London SE5 7AB, which is designated "Duncan Pocock (IB) Limited Capital Reserve PIA" (the "Deposit"),

And:

The Company covenants in the Charge that, until the Liabilities have been paid or discharged in full, the Company shall:

- (a) not withdraw the Deposit or sell, assign, mortgage, charge or otherwise encumber, dispose of or deal with or grant or permit third party rights to arise over or against the same or any part thereof or attempt or agree so to do and not do or omit to do any act or thing which may in any way delay or prejudice the right of 3i to receive payment of the Deposit, and
- (b) do all such things and execute all such assignments, authorities and documents as 3i shall from time to time require for perfecting its title to or for vesting or enabling it to vest the full benefit of the Deposit in 3i or its nominee, such documents to be prepared by or on behalf of 3i at the cost of the Company in such form as 3i may require.

Particulars as to commission allowance or discount (note 3)

NIL

Signed Brian G.

Date 9th November 1995

On behalf of ~~the company~~ the chargee†

† delete as
appropriate

Notes

- 1 The original instrument (if any) creating or evidencing the charge, together with these prescribed particulars correctly completed must be delivered to the Registrar of Companies within 21 days after the date of creation of the charge (section 395). If the property is situated and the charge was created outside the United Kingdom delivery to the Registrar must be effected within 21 days after the date on which the instrument could in due course of post, and if dispatched with due diligence, have been received in the United Kingdom (section 398). A copy of the instrument creating the charge will be accepted where the property charged is situated and the charge was created outside the United Kingdom (section 398) and in such cases the copy must be verified to be a correct copy either by the company or by the person who has delivered or sent the copy to the registrar. The verification must be signed by or on behalf of the person giving the verification and where this is given by a body corporate it must be signed by an officer of that body. A verified copy will also be accepted where section 398(4) applies (property situate in Scotland or Northern Ireland) and Form No. 398 is submitted.
- 2 A description of the instrument, eg "Trust Deed", "Debenture", "Mortgage" or "Legal charge", etc, as the case may be, should be given.
- 3 In this section there should be inserted the amount or rate per cent. of the commission, allowance or discount (if any) paid or made either directly or indirectly by the company to any person in consideration of his;
 - (a) subscribing or agreeing to subscribe, whether absolutely or conditionally, or
 - (b) procuring or agreeing to procure subscriptions, whether absolute or conditional,for any of the debentures included in this return. The rate of interest payable under the terms of the debentures should not be entered.
- 4 If any of the spaces in this form provide insufficient space the particulars must be entered on the prescribed continuation sheet.
- 5 The address of the Registrar of Companies is:-
Companies House, Crown Way, Cardiff CF4 3UZ



CERTIFICATE OF THE REGISTRATION OF A MORTGAGE OR CHARGE

Pursuant to section 401(2) of the Companies Act 1985

COMPANY No. 00814782

THE REGISTRAR OF COMPANIES FOR ENGLAND AND WALES HEREBY CERTIFIES THAT A CHARGE OVER DEPOSIT DATED THE 1st NOVEMBER 1995 AND CREATED BY DUNCAN POCKOCK (INSURANCE BROKERS) LIMITED FOR SECURING ALL MONIES DUE OR TO BECOME DUE FROM THE COMPANY TO 3i plc ON ANY ACCOUNT WHATSOEVER WAS REGISTERED PURSUANT TO CHAPTER 1 PART XII OF THE COMPANIES ACT 1985 ON THE 10th NOVEMBER 1995.

GIVEN AT COMPANIES HOUSE, CARDIFF THE 13th NOVEMBER 1995.

PETER C NASH

for the Registrar of Companies



Post
13.11
ML