

Please complete in typescript,  
or in bold black capitals.

**Annual Return**

CHFP010

**Company Number**

727694

**Company Name in full**

Bankers Trust Investments PLC

**Date of this return**

The information in this return is made up  
to

Day		Month		Year		
3	0	0	4	2	0	0

**Date of next return**

If you wish to make your next return to a  
date earlier than the anniversary of this  
return please show the date here.

Companies House will then send a form at  
the appropriate time.

Day		Month		Year		

**Registered Office**

Show here the address at the date of  
this return.

Winchester House, 1 Great Winchester Street

Any change of  
registered office **must**  
be notified on form  
287.

Post town

London

County / Region

UK Postcode

EC2N 2DB

**Principal business activities**

Show trade classification code number(s)  
for the principal activity or activities.

6512

If the code number cannot be determined,  
give a brief description of principal  
activity.

Holding company



When you have completed and signed the form please send it to the  
Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ**  
for companies registered in England and Wales

**DX 33050 Cardiff**

**or**  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland

**DX 235 Edinburgh**

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

5 Throgmorton Avenue

Post town London

County / Region

UK Postcode EC2M 1JH

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

**Company type**

Public limited company

X

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please tick the appropriate box

**Company Secretary**

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

\* Voluntary details.

Forename(s)

Adam Paul

Surname

Rutherford

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

12 Oak Close

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town

Harlington

County / Region

Bedfordshire

UK Postcode

LU5 6PP

Country

England

**Directors***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name \* Style / Title \_\_\_\_\_

Day Month Year  
Date of birth 

2	9	0	6	1	9	6	0
---	---	---	---	---	---	---	---

Forename(s) David Dorian Oliver

Surname Keen

Address 88 Whitton Road

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town Twickenham

County / Region Middlesex UK Postcode TW1 1BS

Country United Kingdom Nationality British

Business occupation Chartered Accountant

\* Voluntary details.

Name \* Style / Title \_\_\_\_\_

Day Month Year  
Date of birth 

2	1	0	9	1	9	6	1
---	---	---	---	---	---	---	---

Forename(s) John James

Surname Oswald-Jacobs

Address 15 Clapham Common West Side

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town London

County / Region \_\_\_\_\_ UK Postcode SW4 9AJ

Country England Nationality Australian

Business occupation Lawyer/Banker

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name \* Style / Title \_\_\_\_\_  
 \_\_\_\_\_

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
 Date of birth 1 8 0 2 1 9 6 0

Forename(s) Rumit

Surname Shah

Address 9 The Drive

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town Northwood

County / Region Middlesex UK Postcode HA6 1HQ

Country England Nationality British

Business occupation Investment Banker

\* Voluntary details.

Name \* Style / Title Mr

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
 Date of birth 1 8 0 3 1 9 6 5

Forename(s) David Kenyon

Surname Thomas

Address Fiddes Warren, Fair Oak Lane

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town Oxshott

County / Region Surrey UK Postcode KT22 0TP

Country United Kingdom Nationality British

Business occupation Banker

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

See attached schedule		
<b>Totals</b>		

**List of past and present shareholders**

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format


A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed****Date**

9/7/03

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies.

Cheques should be made payable to **Companies House**.

This return includes

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Ruth Lacey, Deutsche Bank AG London, Winchester

House, 1 Great Winchester Street, London, EC2N 2DB

Tel 020 7545 5274

DX number

DX exchange

**Issued share capital  
Schedule to form 363a**

CHFP010      **Company Number**    727694

**Company Name in full**    Bankers Trust Investments PLC

\_\_\_\_\_

**Currency**    Pounds Sterling

**Issued share capital**

Enter details of all the shares in issue  
at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of shares  
issued**

**Aggregate Nominal  
Value**  
(i.e. Number of shares issued  
multiplied by nominal value per  
share, or total amount of stock)

<u>ORDINARY</u>	<u>50,000</u>	<u>£50,000.00</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
<b>Totals</b>	<u>50,000</u>	<u>50,000.00</u>

**Issued share capital  
Schedule to form 363a**

CHFP010      **Company Number** | 727694

**Company Name in full** | Bankers Trust Investments PLC

**Currency** | US Dollar

**Issued share capital**

Enter details of all the shares in issue  
at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of shares  
issued**

**Aggregate Nominal  
Value**  
(i.e. Number of shares issued  
multiplied by nominal value per  
share, or total amount of stock)

ORDINARY (USD)	428,944,456	US\$428,944,456.00
<b>Totals</b>	428,944,456	428,944,456.00

# List of past and present shareholders Schedule to form 363a

CHFP010

**Company Number** | 727694

**Company Name in full** | Bankers Trust Investments PLC

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
<b>Name</b> Bankers Trust Nominees Limited  <b>Address</b> Winchester House, 1 Great Winchester Street, London  <b>UK postcode</b>   EC2N 2DB	£1.00 ORDINARY          Shares Held 1		
<b>Name</b> Bankers Trust Holdings (U.K.) Limited  <b>Address</b> Winchester House, 1 Great Winchester Street, London  <b>UK postcode</b>   EC2N 2DB	£1.00 ORDINARY          Shares Held 49,999		
<b>Name</b>   <b>Address</b>   <b>UK postcode</b>			

## List of past and present shareholders Schedule to form 363a

CHFP010

**Company Number** | 727694

**Company Name in full** | Bankers Trust Investments PLC

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
<p><b>Name</b> Bankers Trust Holdings (U.K.) Limited</p> <p><b>Address</b> Winchester House, 1 Great Winchester Street, London</p> <p><b>UK postcode</b>   EC2N 2DB</p>	<p>US\$1.00 ORDINARY (USD)</p> <p>Shares Held 428,944,456</p>	<p>Shares or amount of stock transferred (if appropriate)</p>	
<p><b>Name</b></p> <p><b>Address</b></p> <p><b>UK postcode</b>  </p>			
<p><b>Name</b></p> <p><b>Address</b></p> <p><b>UK postcode</b>  </p>			