

24/2 NBM

**363a**

Please complete in typescript,  
or in bold black capitals.

## Annual Return

CHFP010

**Company Number**

727694

**Company Name in full**

Bankers Trust Investments PLC

### Date of this return

The information in this return is made up to

Day		Month		Year			
3	0	0	4	2	0	0	2

### Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here.  
Companies House will then send a form at the appropriate time.

Day		Month		Year			

### Registered Office

Show here the address at the date of this return.

Winchester House, 1 Great Winchester Street

Any change of registered office **must** be notified on form 287.

Post town

London

County / Region

UK Postcode

EC2N 2DB

### Principal business activities

Show trade classification code number(s) for the principal activity or activities.

6512

If the code number cannot be determined, give a brief description of principal activity.

Holding company



A19 \*ACDUGCZL\* 0560  
COMPANIES HOUSE 01/08/02  
COMPANIES HOUSE 19/07/02

When you have completed and signed the form please send it to the Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ**

**DX 33050 Cardiff**

for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

**DX 235 Edinburgh**

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

5 Throgmorton Avenue

Post town London

County / Region

UK Postcode EC2M 1JH

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

N/A

Post town

County / Region

UK Postcode

**Company type**

Public limited company

X

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please tick the appropriate box

**Company Secretary**

(Please photocopy this area to provide details of joint secretaries).

\* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Details of a new company secretary must be notified on form 288a.

Name

\* Style / Title

Forename(s)

Adam Paul

Surname

Rutherford

Address

12 Pilgrims Close

Post town

Harlington

County / Region

Bedfordshire

UK Postcode

LU5 6LX

Country

**Directors'***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

**Name****\* Style / Title**

Mr

Day Month Year

Date of birth

0 5 0 8 1 9 6 8

Forename(s)

Gilbert Warren

Surname

Chan

**Address**

Flat D, 14 New North Street

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town

London

County / Region

UK Postcode

WC1N 3PJ

Country

United Kingdom

Nationality

New Zealand

**Business occupation**

Banker

\* Voluntary details.

**Name****\* Style / Title**

Day Month Year

Date of birth

2 9 0 6 1 9 6 0

Forename(s)

David Dorian Oliver

Surname

Keen

**Address**

88 Whitton Road

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town

Twickenham

County / Region

Middlesex

UK Postcode

TW1 1BS

Country

United Kingdom

Nationality

British

**Business occupation**

Chartered Accountant

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title			
	Day	Month	Year	
Date of birth	1	8	0	2
	1	9	6	0
Forename(s)	Rumit			
Surname	Shah			
<b>Address</b>	9 The Drive			
<b>Usual residential address</b>				
Post town	Northwood			
County / Region	Middlesex	UK Postcode	HA6 1HQ	
Country	England	Nationality	British	
<b>Business occupation</b>	Investment Banker			

\* Voluntary details.

<b>Name</b>	* Style / Title		Mr	
	Day	Month	Year	
Date of birth	1	8	0	3
	1	9	6	5
Forename(s)	David Kenyon			
Surname	Thomas			
<b>Address</b>	24 Burleigh Park			
<b>Usual residential address</b>				
Post town	Cobham			
County / Region	Surrey	UK Postcode	KT11 2DU	
Country	United Kingdom	Nationality	British	
<b>Business occupation</b>	Banker			

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

See attached schedule		
<b>Totals</b>		

**List of past and present shareholders**

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format

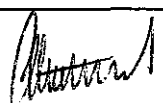
A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed**


**Date**

16/5/02

† Please delete as appropriate.

† a director/ secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

4

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Robyn Wilson, Deutsche Bank AG London, Winchester

House, 1 Great Winchester Street, London, EC2N 2DB

Tel

DX number

DX exchange

## Issued share capital Schedule to form 363a

CHFP010

**Company Number**

727694

**Company Name in full**

Bankers Trust Investments PLC

**Currency**

Pounds Sterling

### Issued share capital

Enter details of all the shares in issue  
at the date of this return.

	<b>Class</b> <i>(e.g. Ordinary/Preference)</i>	<b>Number of shares issued</b>	<b>Aggregate Nominal Value</b> <i>(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)</i>
ORDINARY		50,000	£50,000.00
<b>Totals</b>		50,000	50,000.00

## Issued share capital Schedule to form 363a

CHFP010

**Company Number** | 727694

**Company Name in full** | Bankers Trust Investments PLC

**Currency** | US Dollar

### Issued share capital

Enter details of all the shares in issue  
at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of shares  
issued**

**Aggregate Nominal  
Value**

(i.e. Number of shares issued  
multiplied by nominal value per  
share, or total amount of stock)

ORDINARY (USD)

428,944,456

US\$428,944,456.00

**Totals**

428,944,456

428,944,456.00

## List of past and present shareholders Schedule to form 363a

CHFP010

**Company Number** | 727694

**Company Name in full** | Bankers Trust Investments PLC

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
<b>Name</b> Bankers Trust Nominees Limited  <b>Address</b> Winchester House, 1 Great Winchester Street, London  <b>UK postcode</b>   EC2N 2DB	£1.00 ORDINARY          Shares Held 1		
<b>Name</b> Bankers Trust Holdings (U.K.) Limited  <b>Address</b> Winchester House, 1 Great Winchester Street, London  <b>UK postcode</b>   EC2N 2DB	£1.00 ORDINARY          Shares Held 49,999		
<b>Name</b>   <b>Address</b>   <b>UK postcode</b>			



# List of past and present shareholders Schedule to form 363a

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**Company Number**

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**Company Name in full**

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Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate)  Date of registration of transfer
Name Bankers Trust Holdings (U.K.) Limited  Address Winchester House, 1 Great Winchester Street, London  UK postcode EC2N 2DB	US\$1.00 ORDINARY (USD)          Shares Held 428,944,456		
Name   Address     UK postcode			
Name   Address     UK postcode			