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EIS

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010

Company Number | 727694

Company Name in full | Bankers Trust Investments PLC

Date of this return

The information in this return is made up to

Day		Month		Year	
3	0	0	4	2	0
					1

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here.

Companies House will then send a form at the appropriate time.

Day		Month		Year	

Registered Office

Show here the address at the date of this return.

Winchester House, 1 Great Winchester Street

Any change of registered office must be notified on form 287.

Post town | London

County / Region

UK Postcode | EC2N 2DB

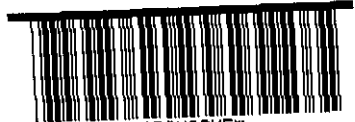
Principal business activities

Show trade classification code number(s) for the principal activity or activities.

6512

If the code number cannot be determined, give a brief description of principal activity.

Holding company



A34
COMPANIES HOUSE

0556
24/05/01

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

5 Throgmorton Avenue

Post town London

County / Region UK Postcode EC2

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region UK Postcode

Company type

Public limited company

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Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

* Voluntary details.

Forename(s)

Adam Paul

Surname

Rutherford

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

12 Pilgrims Close

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Harlington

County / Region Bedfordshire UK Postcode LU5 6LX

Country

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	Mr	
		Day	Month
		Year	
	Date of birth	0	8
		0	8
		1	9
		6	6
	Forename(s)	Steven Wim	
	Surname	Blase	
Address	26 Coleherne Court, The Little Boltons		
	Post town	London	
	County / Region		UK Postcode
			SW5 0DL
	Country	United Kingdom	Nationality
			Dutch
	Business occupation	Banker	

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

* Voluntary details.

Name	* Style / Title	Mr	
		Day	Month
		Year	
	Date of birth	3	0
		0	3
		1	9
		4	9
	Forename(s)	James Stephen	
	Surname	Cross	
Address	44 Romney Drive		
	Post town	Bromley	
	County / Region	Kent	UK Postcode
			BR1 2TE
	Country	United Kingdom	Nationality
			British
	Business occupation	Chartered Accountant	

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	Mr	
		Day	Month
		Year	
Date of birth		2	3
		0	2
		1	9
		4	8
Forename(s)	Nicholas Dudley		
Surname	Harrison		
Address	105 Tattenham Crescent, Epson Downs, Surrey		
Usual residential address			
Post town			
County / Region		UK Postcode	KT18 5NY
Country	United Kingdom	Nationality	British
Business occupation	Banker		

* Voluntary details.

Name	* Style / Title	Mr	
		Day	Month
		Year	
Date of birth		2	8
		0	8
		1	9
		6	0
Forename(s)	Thomas Michael		
Surname	Quane		
Address	New Holme, 20 Mizen Way		
Usual residential address			
Post town	Cobham		
County / Region	Surrey	UK Postcode	KT11 2RH
Country	United Kingdom	Nationality	American
Business occupation	Director		

Issued share capital

Enter details of all the shares in issue at the date of this return.

	Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
See attached schedule			
Totals			

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

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on paper

in another format

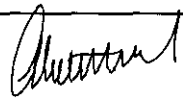
A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

9/5/01

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

4

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Angela Sigley, Deutsche Bank AG London, Winchester

House, 1, Great Winchester Street, London, EC2N 2DB

Tel

DX number DX exchange

**Issued share capital
Schedule to form 363a**

CHFP010 **Company Number** | 727694

Company Name in full | Bankers Trust Investments PLC

Currency | Pounds Sterling

Issued share capital

Enter details of all the shares in issue
at the date of this return.

Class <i>(e.g. Ordinary/Preference)</i>	Number of shares issued	Aggregate Nominal Value <i>(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)</i>
ORDINARY	50,000	£50,000.00
Totals	50,000	50,000.00

Issued share capital Schedule to form 363a

CHFP010

Company Number | 727694

Company Name in full | Bankers Trust Investments PLC

Currency | US Dollar

Issued share capital

Enter details of all the shares in issue
at the date of this return.

	Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY (USD)		428,944,456	US\$428,944,456.00
Totals		428,944,456	428,944,456.00

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 727694

Company Name in full Bankers Trust Investments PLC

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name Bankers Trust Nominees Limited Address Winchester House, 1 Great Winchester Street, London UK postcode EC2N 2DB	£1.00 ORDINARY Shares Held 1		
Name Bankers Trust Holdings (U.K.) Limited Address Winchester House, 1 Great Winchester Street, London UK postcode EC2N 2DB	£1.00 ORDINARY Shares Held 49,999		
Name Address UK postcode			

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 727694

Company Name in full Bankers Trust Investments PLC

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Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name Bankers Trust Holdings (U.K.) Limited Address Winchester House, 1 Great Winchester Street, London UK postcode EC2N 2DB	US\$1.00 ORDINARY (USD) Shares Held 428,944,456		
Name Address UK postcode			
Name Address UK postcode			