



**BLUEPRINT**  
Company Secretary

Bds  
£15  
10 LOSS

# 363a

## Annual Return

**Company Number** 727694

**Company Name in full** Bankers Trust Investments PLC

**\* F 3 6 3 A C 5 0 \***

**Date of this return** (See note 1)

The information in this return is made up to

Day Month Year

23	09	97
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**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

23	09	98
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**Registered Office** (See note 3)

Show here the address **at the date of this return.**

Any change of  
registered office  
**must** be notified  
on form 287.

Post town

County / Region

Postcode

1 Appold Street

Broadgate

London

EC2A 2HE

### Principal business activities

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

8610

If the code number cannot be determined, give a brief description of principal activity.



When you have completed and signed the form please send it to  
**Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales or  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland **DX 235 Edinburgh**

**Register of members** (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

1 Appold Street

Broadgate

London

Postcode EC2A 2HE

**Register of Debenture holders**

(See note 6)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

1 Appold Street

Broadgate

London

Postcode EC2A 2HE

**Company type** (See note 7)

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please mark the appropriate box

**Company Secretary** (See notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

Forename(s)

Surname

\* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

Details of a new company secretary must be notified on form 288a.

\* Honours etc

Claire Fiona

Backhouse

71 Stradella Road

Herne Hill

London

County / Region

Postcode SE24 9HL

Country

United Kingdom

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Directors** (See notes 1-5)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	<b>* Style / Title</b>				Day	Month	Year	
	<b>* Honours etc</b>				Date of birth	01	11	54
<b>Forename(s)</b>		Peter Robert						
<b>Surname</b>		Burke						
<b>Previous forename(s)</b>								
<b>Previous surname(s)</b>								
<b>Address</b>		11 Belvedere Grove						
		Wimbledon						
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.		<b>Post town</b>		London				
		<b>County / Region</b>				<b>Postcode</b>	SW19	
		<b>Country</b>		United Kingdom		<b>Nationality</b>	British	
<b>Business occupation</b>		Chartered Accountant						
<b>Other directorships</b>		None						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	<b>* Style / Title</b>				Day	Month	Year	
		<b>* Honours etc</b>				Date of birth	07	10	43
<b>Forename(s)</b>		Brian Ronald							
<b>Surname</b>		Cook							
<b>Previous forename(s)</b>									
<b>Previous surname(s)</b>									
<b>Address</b>		19 Hither Chantlers							
		Langton Green							
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.		<b>Post town</b>		Tunbridge Wells					
		<b>County / Region</b>		Kent		<b>Postcode</b>	TN3 0BL		
		<b>Country</b>		United Kingdom		<b>Nationality</b>	British		
<b>Business occupation</b>		Banker							
<b>Other directorships</b>		None							

**Issued share capital** (See notes 9)

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share)
Ordinary Shares of GBP1.00	50,000	£50,000.00
Ordinary Shares US\$1.00	427,955,414	\$427,955,414.00
<b>Totals</b>	See continuation sheet	

**List of past and present members**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐
**Elective resolutions**

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☐

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☐
**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

18/9/97

† Please delete as appropriate.

† a director /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

3

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Corporate Secretariat  
Bankers Trust Company  
1 Appold Street  
Broadgate  
London EC2A 2HE

**Directors** (Continued)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	* Style / Title		Day	Month	Year	
	* Honours etc		Date of birth	30	03	49
Forename(s)	James Stephen					
Surname	Cross					
Previous forename(s)						
Previous surname(s)						
<b>Address</b>	44 Romney Drive					
	Post town	Bromley				
	County / Region	Kent	Postcode	BR1 2TE		
	Country	United Kingdom	Nationality	British		
<b>Business occupation</b>	Chartered Accountant					
<b>Other directorships</b>	None					

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title		Day	Month	Year	
		* Honours etc		Date of birth	23	02	48
	Forename(s)	Nicholas Dudley					
	Surname	Harrison					
	Previous forename(s)						
	Previous surname(s)						
	<b>Address</b>	Rosebery House					
		105 Tattenham Crescent					
	Post town	Epsom Downs					
	County / Region	Surrey	Postcode	KT18 5NY			
	Country	United Kingdom	Nationality	British			
<b>Business occupation</b>	Banker						
<b>Other directorships</b>	None						

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Directors** (Continued)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	<b>* Style / Title</b>				<b>Day</b>	<b>Month</b>	<b>Year</b>	
	<b>* Honours etc</b>				<b>Date of birth</b>	<b>09</b>	<b>10</b>	<b>46</b>
	<b>Forename(s)</b>	<b>William George</b>						
	<b>Surname</b>	<b>Ronai</b>						
	<b>Previous forename(s)</b>							
	<b>Previous surname(s)</b>							
<b>Address</b>	<b>40 Edith Road</b>							
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	<b>Post town</b>	<b>London</b>						
	<b>County / Region</b>		<b>Postcode</b>	<b>W14 9BB</b>				
	<b>Country</b>	<b>United Kingdom</b>	<b>Nationality</b>	<b>USA</b>				
	<b>Business occupation</b>	<b>BANKER</b>						
	<b>Other directorships</b>	<b>None</b>						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	<b>* Style / Title</b>				<b>Day</b>	<b>Month</b>	<b>Year</b>
		<b>* Honours etc</b>				<b>Date of birth</b>		
		<b>Forename(s)</b>						
		<b>Surname</b>						
		<b>Previous forename(s)</b>						
		<b>Previous surname(s)</b>						
	<b>Address</b>							
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	<b>Post town</b>							
	<b>County / Region</b>		<b>Postcode</b>					
	<b>Country</b>		<b>Nationality</b>					
	<b>Business occupation</b>							
	<b>Other directorships</b>							

**Issued share capital  
(continuation sheet)**

Form 363a Continuation sheet

**Company Number** 727694

**Issued share capital** (See notes 9)

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

Number of shares issued

**Aggregate  
Nominal Value**  
(i.e Number of shares issued  
multiplied by nominal value per  
share)

[illegible]

**List of past and present members  
Schedule to form 363a and 363b**

**Company Number** 727694

**Company Name in full** Bankers Trust Investments PLC

Number of shares  
or amount of  
stock held by  
existing members  
at date of this  
return.

Particulars of shares or stock transferred since  
the date of the last return (or in the case of the  
first return, since the incorporation of the  
company) by  
(a) persons who are still members, and  
(b) persons who have ceased to be members.

Name and address	Number or amount currently held	Number or amount Transferred	Date of registration of transfer	Remarks
Claire Fiona Backhouse				
71 Stradella Road, Herne Hill, London, SE24 9HL, United Kingdom				
Ordinary Shares of GBP1.00	1			
Bankers Trust Holdings (U.K.) Limited				
1 Appold Street, Broadgate, London, EC2A 2HE, United Kingdom				
Ordinary Shares of GBP1.00	49,999			
Ordinary Shares US\$1.00	427,955,414			