



BLUEPRINT
Company Secretary

BS 102504
£15

363a

Annual Return

Company Number **727694**

Company Name in full **Bankers Trust Investments PLC**



* F 3 6 3 A C 5 0 *

Date of this return (See note 1)

The information in this return is made up to

Day Month Year

23 09 98

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

23 09 99

Registered Office (See note 3)

Show here the address at the date of this return.

Any change of registered office must be notified on form 287.

Post town

County / Region

Postcode

1 Appold Street

Broadgate

London

EC2A 2HE

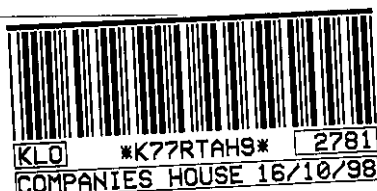
Principal business activities

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

6512

If the code number cannot be determined, give a brief description of principal activity.



When you have completed and signed the form please send it to
Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff
for companies registered in England and Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland **DX 235 Edinburgh**

Register of members (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

1 Appold Street

Broadgate

London

Postcode EC2A 2HE

Register of Debenture holders

(See note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town

County / Region

1 Appold Street

Broadgate

London

Postcode EC2A 2HE

Company type (See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☒☐☐☐☐☐☐

Please mark the appropriate box

Company Secretary (See notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

Surname

* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

Details of a new company secretary must be notified on form 288a.

* Honours etc

Ian Antony

Pellow

6 Meadowside

Tilehurst

Reading

County / Region

Country

Berkshire

Postcode RG31 5QE

United Kingdom

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Directors (See notes 1-5)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	01	11	54
Forename(s)		Peter Robert						
Surname		Burke						
Previous forename(s)								
Previous surname(s)								
Address		11 Belvedere Grove						
		Wimbledon						
Post town		London						
County / Region				Postcode	SW19			
Country		United Kingdom		Nationality	British			
Business occupation		Chartered Accountant						
Other directorships		None						

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

* Voluntary details.

Directors	Name	* Style / Title				Day	Month	Year	
		* Honours etc				Date of birth	07	10	43
Forename(s)		Brian Ronald							
Surname		Cook							
Previous forename(s)									
Previous surname(s)									
Address		19 Hither Chantlers							
		Langton Green							
Post town		Tunbridge Wells							
County / Region		Kent		Postcode	TN3 0BL				
Country		United Kingdom		Nationality	British				
Business occupation		Banker							
Other directorships		None							

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Issued share capital (See notes 9)

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share)

Ordinary Shares of GBP1.00	50,000	£50,000.000
Ordinary Shares US\$1.00	428,944,456	\$428,944,456.000
Totals	See continuation sheet	

List of past and present members

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐
Elective resolutions

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☐

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

A. Hellow

Date

6/10/98

† Please delete as appropriate.

† director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

5

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Corporate Secretariat
1 Appold Street
Broadgate
London
EC2a 2HE

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	30	03	49
Forename(s)	James Stephen							
Surname	Cross							
Previous forename(s)								
Previous surname(s)								
Address	44 Romney Drive							
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	Bromley						
	County / Region	Kent	Postcode	BR1 2TE				
	Country	United Kingdom	Nationality	British				
Business occupation	Chartered Accountant							
Other directorships	(See continuation sheet).							

* Voluntary details.

Directors	Name	* Style / Title				Day	Month	Year	
		* Honours etc				Date of birth	05	02	64
	Forename(s)	Andrew McCulloch							
	Surname	Graham							
	Previous forename(s)								
	Previous surname(s)								
	Address	Chandlers							
		Lower Ham Road							
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	Elstead							
	County / Region	Surrey	Postcode	GU8 6HQ					
	Country	United Kingdom	Nationality	British					
Business occupation	Accountant								
Other directorships	(See continuation sheet).								

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	23	02	48
Forename(s)	Nicholas Dudley							
Surname	Harrison							
Previous forename(s)								
Previous surname(s)								
Address	Rosebery House							
	105 Tattenham Crescent							
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	Epsom Downs						
	County / Region	Surrey	Postcode	KT18 5NY				
	Country	United Kingdom	Nationality	British				
Business occupation	Banker							
Other directorships	(See continuation sheet).							

* Voluntary details.

Directors	Name	* Style / Title				Day	Month	Year	
		* Honours etc				Date of birth	28	08	60
	Forename(s)	Thomas Michael							
	Surname	Quane							
	Previous forename(s)								
	Previous surname(s)								
	Address	New Holme							
		20 Mizen Way							
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	Cobham							
	County / Region	Surrey	Postcode	KT11 2RH					
	Country	United Kingdom	Nationality	American					
Business occupation	Director								
Other directorships	None								

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	04	05	60
Forename(s)	Alan Stuart							
Surname	Ramsay							
Previous forename(s)								
Previous surname(s)								
Address	49 Pickwick Road							
	Dulwich Village							
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	London						
	County / Region		Postcode	SE21 7JN				
	Country	United Kingdom		Nationality	British			
Business occupation	Director							
Other directorships	(See continuation sheet).							

* Voluntary details.

Directors	Name	* Style / Title				Day	Month	Year
		* Honours etc				Date of birth		
	Forename(s)							
	Surname							
	Previous forename(s)							
	Previous surname(s)							
	Address							
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town							
	County / Region		Postcode					
	Country			Nationality				
Business occupation								
Other directorships								

Company Number 727694

Company Name in full Bankers Trust Investments PLC

Current directorships for James Stephen Cross

BT Finance (Leasing) Limited

Current directorships for Andrew McCulloch Graham

BT Finance (Leasing) Limited

Current directorships for Nicholas Dudley Harrison

Exchange Square Management Limited

Current directorships for Alan Stuart Ramsay

Securities Institute (Services) Limited

Securities Institute Limited

**Issued share capital
(continuation sheet)**

Form 363a Continuation sheet

Company Number 727694

Issued share capital (See notes 9)

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

Number of shares issued

**Aggregate
Nominal Value**
(i.e Number of shares issued
multiplied by nominal value per
share)

[illegible]

**List of past and present members
Schedule to form 363a and 363b**

Company Number 727694

Company Name in full Bankers Trust Investments PLC

Number of shares
or amount of
stock held by
existing members
at date of this
return.

Particulars of shares or stock transferred since
the date of the last return (or in the case of the
first return, since the incorporation of the
company) by
(a) persons who are still members, and
(b) persons who have ceased to be members.

Name and address	Number or amount currently held	Number or amount Transferred	Date of registration of transfer	Remarks
Claire Fiona Backhouse				
71 Stradella Road, Herne Hill, London, SE24 9HL, United Kingdom				
Ordinary Shares of GBP1.00	0			
		1	22-Jan-1998	
Bankers Trust Holdings (U.K.) Limited				
1 Appold Street, Broadgate, London, EC2A 2HE, United Kingdom				
Ordinary Shares of GBP1.00	49,999			
Ordinary Shares US\$1.00	428,944,456			
Bankers Trust Nominees Limited				
1 Appold Street, Broadgate, London, EC2A 2HE, United Kingdom				
Ordinary Shares of GBP1.00	1			