In accordance with Section 728 of the Companies Act 2006.

SH04

Notice of sale or transfer of treasury shares by a public limited company (PLC)

✓ **What this form is for**
You may use this form to give notice of a sale or transfer of treasury shares for a public limited company.

✗ **What this form is NOT for**
You cannot use this form to give notice of a cancell shares in a public To do this, please

For further information, please *refer to our guidance at* .gov.uk

TUESDAY

A76GXJ4G
A14 22/05/2018 #131
COMPANIES HOUSE

1 Company details

Company number	5 2 0 2 4 1
Company name in full	Close Brothers Group plc

Please complete in typescript or in bold black capitals

All fields are mandatory unless specified or indicated by *

2 Treasury shares sold or transferred ❶

Class of shares (E.g. Ordinary/Preference etc.)	Number of shares sold or transferred	Nominal value of each share	Date(s) shares were sold or transferred
Ordinary shares of 25p each	242	25p	21 / 05 / 2018
			/ /
			/ /
			/ /
			/ /
			/ /
			/ /
			/ /
			/ /
			/ /

❶ **Treasury shares sold or transferred**
Shares may only be transferred (as opposed to sold) from treasury for the purposes of, or in accordance with, an employees' share scheme.

3 Signature

I am signing this form on behalf of the company.

Signature

Signature
X X

This form may be signed by:
~~Director~~ ❷, Secretary, ~~Person authorised~~ ❸, Administrator, ~~Administrative receiver, Receiver, Receiver manager, CIC manager.~~

❷ ***Societas Europaea***
If the form is being filed on behalf of a Societas Europaea (SE), please delete 'director' and insert details of which organ of the SE the person signing has membership.

❸ **Person authorised**
Under either section 270 or 274 of the Companies Act 2006.

SH04

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name JE Hudspith

Company name Close Brothers Group plc

Address 10 Crown Place

Post town London

County/Region

Postcode E C 2 A 4 F T

Country

DX

Telephone 020 7655 3100

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have entered the correct information in section 2.
- ☐ You have signed the form.

Important information

Please note that all information on this form will appear on the public record.

Where to send

You may return this form to any Companies House *address, however for expediency we advise you to* return it to the appropriate address below:

For companies registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:
The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk