

SH04

Notice of sale or transfer of treasury shares by a public limited company (PLC)

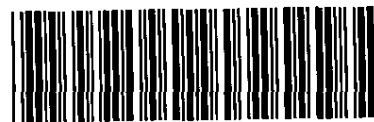
✓ What this form is for

You may use this form to give notice of a sale or transfer of treasury shares for a public limited company.

✗ What this form is NOT for

You cannot use this form to give notice of a cancellation of shares in a public limited company. To do this, please use form SH05.

TUESDAY



A21 20/03/2018 #141
COMPANIES HOUSE

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1 Company details

Company number 5 2 0 2 4 1

Company name in full Close Brothers Group plc

→ Filling in this form

Please complete in typescript or in bold black capitals

All fields are mandatory unless specified or indicated by *

2 Treasury shares sold or transferred ①

| Class of shares (E.g. Ordinary/Preference etc) | Number of shares sold or transferred | Nominal value of each share | Date(s) shares were sold or transferred |
|---|---|--------------------------------|--|
| Ordinary shares of 25p each | 3,096 | 25p | 19 / 03 / 2018 |
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① Treasury shares sold or transferred

Shares may only be transferred (as opposed to sold) from treasury for the purposes of, or in accordance with, an employees' share scheme.

3 Signature

I am signing this form on behalf of the company.

Signature

Signature

✗

✗

This form may be signed by:

~~Director~~ ~~Secretary~~ ~~Person authorised~~ ~~Administrator~~ ~~Administrative receiver~~ ~~Receiver~~ ~~Receiver manager~~ ~~CIC manager~~

② Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE), please delete 'director' and insert details of which organ of the SE the person signing has membership.

③ Person authorised

Under either section 270 or 274 of the Companies Act 2006.

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**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **JE Hudspith**

Company name **Close Brothers Group plc**

Address **10 Crown Place**

Post town **London**

County/Region

Postcode **E C 2 A 4 F T**

Country

DX

Telephone **020 7655 3100**

**Checklist**

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have entered the correct information in section 2.
- ☐ You have signed the form.

**Important information**

Please note that all information on this form will appear on the public record.

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

**Further information**

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk