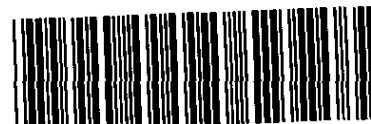


# SH04

## Notice of sale or transfer of treasury shares by a public limited company (PLC)

☒ **What this form is for**  
You may use this form to give notice of a sale or transfer of treasury shares for a public limited company.

☐ **What this form is NOT for**  
You cannot use this form to give notice of a cancellation of shares in a public limited company. To do this, please use SH01.



A21 \*A6DDFG1E\* 22/08/2017 #47  
COMPANIES HOUSE

### 1 Company details

Company number 5 2 0 2 4 1

Company name in full Close Brothers Group plc

→ **Filling in this form**  
Please complete in typescript or in bold black capitals  
All fields are mandatory unless specified or indicated by \*

### 2 Treasury shares sold or transferred

Class of shares (E.g. Ordinary/Preference etc.)	Number of shares sold or transferred	Nominal value of each share	Date(s) shares were sold or transferred
Ordinary shares of 25p each	982	25p	21 / 08 / 2017
			/ /
			/ /
			/ /
			/ /
			/ /
			/ /
			/ /
			/ /
			/ /

#### 1 Treasury shares sold or transferred

Shares may only be transferred (as opposed to sold) from treasury for the purposes of, or in accordance with, an employees' share scheme.

### 3 Signature

I am signing this form on behalf of the company.

Signature

Signature

X

**Societas Europaea**  
If the form is being filed on behalf of a Societas Europaea (SE), please delete 'director' and insert details of which organ of the SE the person signing has membership.

**Person authorised**  
Under either section 270 or 274 of the Companies Act 2006.

This form may be signed by:  
Director, Secretary, Person authorised, Administrator, Administrative receiver, Receiver, Receiver manager, CIC manager.

SH04

Notice of sale or transfer of treasury shares by a public limited company (PLC)

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **JE Hudspith**

Company name **Close Brothers Group plc**

Address **10 Crown Place**

Post town **London**

County/Region

Postcode **E C 2 A 4 F T**

Country

DX

Telephone **020 7655 3100**

**Checklist**

We may return forms completed incorrectly or with information missing.

**Please make sure you have remembered the following:**

- ☐ The company name and number match the information held on the public Register.
- ☐ You have entered the correct information in section 2.
- ☐ You have signed the form.

**Important information**

Please note that all information on this form will appear on the public record.

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

**For companies registered in England and Wales:**

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**For companies registered in Scotland:**

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post).

**For companies registered in Northern Ireland:**

The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG.  
DX 481 N.R. Belfast 1.

**Further information**

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)