

# SH04

## Notice of sale or transfer of treasury shares by a public limited company (PLC)

☒ **What this form is for**  
You may use this form to give notice  
of a sale or transfer of treasury  
shares for a public limited company

☐ **What this form is NOT**  
You cannot use this form  
notice of a cancellation of  
shares in a public limited  
To do this, please use SH0

TUESDAY



A5ZRDPF7  
07/02/2017  
A23 #23  
COMPANIES HOUSE

### 1 Company details

Company number 5 2 0 2 4 1

Company name in full Close Brothers Group plc

→ **Filing in this form**  
Please complete in typescript or in  
bold black capitals

All fields are mandatory unless  
specified or indicated by \*

### 2 Treasury shares sold or transferred

Class of shares (E.g. Ordinary/Preference etc.)	Number of shares sold or transferred	Nominal value of each share	Date(s) shares were sold or transferred
Ordinary shares of 25p each	4,211	25p	06 / 02 / 2017
			/ /
			/ /
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			/ /
			/ /

#### ① Treasury shares sold or transferred

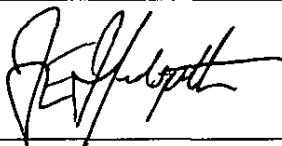
Shares may only be transferred (as opposed to sold) from treasury for the purposes of, or in accordance with, an employees' share scheme

### 3 Signature

I am signing this form on behalf of the company

Signature

Signature

X  X

This form may be signed by

~~Director or~~ Secretary, Person authorised, Administrator, Administrative  
receiver, Receiver, Receiver manager, CIC manager

#### ② Societas Europaea

If the form is being filed on behalf  
of a Societas Europaea (SE), please  
delete 'director' and insert details  
of which organ of the SE the person  
signing has membership

#### ③ Person authorised

Under either section 270 or 274 of  
the Companies Act 2006

SH04

## Notice of sale or transfer of treasury shares by a public limited company (PLC)

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name J E Hudspith

Company name Close Brothers Group plc

Address 10 Crown Place

Post town London

County/Region

Postcode E C 2 A 4 F T

Country

DX

Telephone 020 7655 3100

**Checklist**

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ The company name and number match the information held on the public Register
- ☐ You have entered the correct information in section 2
- ☐ You have signed the form

**Important information**

Please note that all information on this form will appear on the public record

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

For companies registered in England and Wales  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

For companies registered in Scotland  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG  
DX 481 N R Belfast 1

**Further information**

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)