



**Companies House**  
— for the record —

**AR01** (ef)

**Annual Return**



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Received for filing in Electronic Format on the: **18/11/2011**

*Company Name:* **ICAP Securities Limited**

*Company Number:* **00500777**

*Date of this return:* **02/11/2011**

*SIC codes:* **66120**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **2 BROADGATE  
LONDON  
ENGLAND  
EC2M 7UR**

**Officers of the company**

***Company Secretary 1***

*Type:* **Person**

*Full forename(s):* **MRS DEBORAH ANNE**

*Surname:* **ABREHART**

*Former names:*

*Service Address recorded as Company's registered office*

*Company Director* 1

Type: **Person**

Full forename(s): **MR DAVID**

Surname: **CASTERTON**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **ENGLAND**

Date of Birth: **28/05/1958**

Nationality: **BRITISH**

Occupation: **BROKER**

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*Company Director* 2

Type: **Person**

Full forename(s): **MS VANESSA ELAINE**

Surname: **CRUWYS**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **ENGLAND**

Date of Birth: **24/02/1961**

Nationality: **BRITISH**

Occupation: **ACCOUNTANT**

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*Company Director*    **3**

Type:                                **Person**  
Full forename(s):                **MR TIMOTHY CHARLES**

Surname:                           **KIDD**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident:   **ENGLAND**

Date of Birth:   **19/05/1965**                                Nationality:   **BRITISH**

Occupation:     **DIRECTOR**

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*Company Director*    **4**

Type:                                **Person**  
Full forename(s):                **MR GARY JAMES**

Surname:                           **SMITH**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident:   **ENGLAND**

Date of Birth:   **24/04/1961**                                Nationality:   **BRITISH**

Occupation:     **DIRECTOR**

## Statement of Capital (Share Capital)

|                        |                 |                                |                  |
|------------------------|-----------------|--------------------------------|------------------|
| <b>Class of shares</b> | <b>ORDINARY</b> | <i>Number allotted</i>         | <b>351331105</b> |
|                        |                 | <i>Aggregate nominal value</i> | <b>351331105</b> |
| <i>Currency</i>        | <b>GBP</b>      | <i>Amount paid per share</i>   | <b>1</b>         |
|                        |                 | <i>Amount unpaid per share</i> | <b>0</b>         |

### *Prescribed particulars*

SUBJECT TO ANY RIGHTS OR RESTRICTIONS ATTACHED TO ANY SHARES, ON A SHOW OF HANDS EVERY MEMBER WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY OR (BEING A CORPORATION) IS PRESENT BY A DULY AUTHORISED REPRESENTATIVE OR BY PROXY, UNLESS THE PROXY (IN EITHER CASE) OR THE REPRESENTATIVE IS HIMSELF A MEMBER ENTITLED TO VOTE, SHALL HAVE ONE VOTE AND ON A POLL EVERY MEMBER SHALL HAVE ONE VOTE FOR EVERY SHARE OF WHICH HE IS THE HOLDER.

## Statement of Capital (Totals)

|                 |            |                                      |                  |
|-----------------|------------|--------------------------------------|------------------|
| <i>Currency</i> | <b>GBP</b> | <i>Total number of shares</i>        | <b>351331105</b> |
|                 |            | <i>Total aggregate nominal value</i> | <b>351331105</b> |

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 02/11/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **351331105 ORDINARY shares held as at the date of this return**  
*Name:* **ICAP GROUP HOLDINGS PLC**

## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.