



BLUEPRINT
Company Secretary

Please complete in typescript,
or in bold black capitals.

CHFP055

363a

HSBC
£60x4
261 227

Annual Return

Company Number 149013

Company Name in full HSBC Insurance Brokers Limited

Date of this return

The information in this return is made up to

| Day | | Month | | Year | | |
|-----|---|-------|---|------|---|---|
| 2 | 8 | 0 | 2 | 2 | 0 | 0 |
| | | | | | | 1 |

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

| Day | | Month | | Year | | |
|-----|--|-------|--|------|--|--|
| | | | | | | |
| | | | | | | |

Registered Office

Show here the address at the date of this return.

10 Lower Thames Street

Any change of registered office **must** be notified on form 287.

Post town London

County / Region

UK Postcode EC3R 6AE

Principal business activities

Show trade classification code number(s) for the principal activity or activities.

6603

If the code number cannot be determined, give a brief description of principal activity.

Insurance



A48
COMPANIES HOUSE

0557
17/03/01
03/03/01

Form revised September 1999

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

Nigel

* Voluntary details.

Surname

BARKER

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

7 Monoux Grove

Walthamstow

Post town

London

County / Region

UK Postcode

E17 5BS

Country

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

| | | | | | | | | | |
|----------------------------------|--|--------------------|-------|------|---|-------------|---------|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | | | | | | | | |
| Date of birth | | 2 | 2 | 0 | 9 | 1 | 9 | 4 | 8 |
| Forename(s) | | Lars Peter Richard | | | | | | | |
| Surname | | AHLAS | | | | | | | |
| | | | | | | | | | |
| Address | | Petty's Farm | | | | | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Rectory Lane | | | | | | | |
| Post town | | Buckland | | | | | | | |
| County / Region | | Surrey | | | | UK Postcode | RH3 7BL | | |
| Country | | England | | | | Nationality | Swedish | | |
| Business occupation | | Insurance Broker | | | | | | | |

* Voluntary details.

| | | | | | | | | | |
|----------------------------------|--|------------------|-------|------|---|-------------|---------|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | | | | | | | | |
| Date of birth | | 2 | 0 | 1 | 1 | 1 | 9 | 6 | 0 |
| Forename(s) | | Gary John | | | | | | | |
| Surname | | ANDREWS | | | | | | | |
| | | | | | | | | | |
| Address | | The Bradings | | | | | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Church Road | | | | | | | |
| Post town | | Hartley | | | | | | | |
| County / Region | | Kent | | | | UK Postcode | DA3 8DL | | |
| Country | | England | | | | Nationality | British | | |
| Business occupation | | Insurance Broker | | | | | | | |

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class

(e.g. Ordinary/Preference)

Number of shares issued

Aggregate Nominal Value

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Ordinary Shares

2,800,000

£2,800,000.000

Totals

2,800,000

£2,800,000.000

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

28-2-01

† Please delete as appropriate.

† a ~~director~~ /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

8

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Nigel Barker, Assistant Secretary, HSBC Holdings plc, 2nd Floor, 10

Lower Thames Street, London, EC3R 6AE, Tel: 0207 260 8182

Tel

DX number DX exchange

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | |
|----------------------------------|--|----------------------------|------------------|---------------------|
| Name | * Style / Title | | | |
| | | Day | Month | Year |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | 06121946 | |
| | | Forename(s) | John Francis | |
| | | Surname | BARNETT | |
| | | | | |
| Address | | 15 William Cavell Close | | |
| | | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | Enfield | |
| | | County / Region | Middlesex | UK Postcode EN2 8HP |
| | | Country | England | Nationality British |
| | | Business occupation | Insurance Broker | |

* Voluntary details.

| | | | | |
|----------------------------------|--|----------------------------|------------------|---------------------|
| Name | * Style / Title | | | |
| | | Day | Month | Year |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | 30011950 | |
| | | Forename(s) | Michael Edward | |
| | | Surname | BISHOP | |
| | | | | |
| Address | | Thorncroft | | |
| | | Sunnydale | | |
| | | Farnborough Park | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | Orpington | |
| | | County / Region | Kent | UK Postcode BR6 8LY |
| | | Country | England | Nationality British |
| | | Business occupation | Insurance Broker | |

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | |
|----------------------------------|--|------------------|-----------------|---------------------|
| Name | * Style / Title | | | |
| | | Day | Month | Year |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corpo - rate or firm name. | Date of birth | 06031950 | |
| | Forename(s) | Robert Stewart | | |
| | Surname | BRIGHT | | |
| | | | | |
| Address | | 12 Grove Road | | |
| | | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | Beaconsfield | |
| | | County / Region | Buckinghamshire | UK Postcode HP9 1UP |
| | | Country | England | Nationality British |
| | Business occupation | Insurance Broker | | |

* Voluntary details.

| | | | | |
|----------------------------------|--|-------------------|-------------|---------------------|
| Name | * Style / Title | | | |
| | | Day | Month | Year |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corpo - rate or firm name. | Date of birth | 14071948 | |
| | Forename(s) | Paul Sebastian | | |
| | Surname | CAZEAUX | | |
| | | | | |
| Address | | The Dolls House | | |
| | | 166 Straight Road | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | Old Windsor | |
| | | County / Region | Berkshire | UK Postcode SL4 2SG |
| | | Country | England | Nationality British |
| | Business occupation | Insurance Broker | | |

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | | | | | | |
|------------------|---|--------------------------------------|-------|------|---|---------------------|---|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | | | | | | | |
| | | 2 | 1 | 1 | 2 | 1 | 9 | 4 | 5 |
| | | Forename(s) Roger Walter | | | | | | | |
| | | Surname COOK | | | | | | | |
| | | | | | | | | | |
| Address | | 9 The Woodlands | | | | | | | |
| | | Brightlingsea | | | | | | | |
| | | Post town Colchester | | | | | | | |
| | | County / Region Essex | | | | UK Postcode CO7 0RY | | | |
| | | Country England | | | | Nationality British | | | |
| | | Business occupation Insurance Broker | | | | | | | |

* Voluntary details.

| | | | | | | | | | |
|------------------|---|--|-------|------|---|---------------------|---|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | | | | | | | |
| | | 3 | 1 | 0 | 8 | 1 | 9 | 5 | 9 |
| | | Forename(s) Andrew Michael Morley | | | | | | | |
| | | Surname DIXON | | | | | | | |
| | | | | | | | | | |
| Address | | Southward | | | | | | | |
| | | 2 Upper Rose Hill | | | | | | | |
| | | Post town Dorking | | | | | | | |
| | | County / Region Surrey | | | | UK Postcode RH4 2EB | | | |
| | | Country England | | | | Nationality British | | | |
| | | Business occupation Chartered Accountant | | | | | | | |

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | |
|---|--------------------|-------|-------------|-----------|
| Name | * Style / Title | | | |
| <p>Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.</p> | | | | |
| | Day | Month | Year | |
| Date of birth | 0 | 6 | 1 | 0 1 9 4 1 |
| Forename(s) | David John Lerrier | | | |
| Surname | GODFRAY | | | |
| | | | | |
| Address | The Chantry | | | |
| Usual residential address | Chantry Lane | | | |
| Post town | Storrington | | | |
| County / Region | West Sussex | | UK Postcode | RH20 4AB |
| Country | England | | Nationality | British |
| Business occupation | Insurance Broker | | | |

* Voluntary details.

| | | | | |
|---|--|-------|-------------|-----------|
| Name | * Style / Title | | | |
| <p>Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.</p> | | | | |
| | Day | Month | Year | |
| Date of birth | 2 | 7 | 0 | 2 1 9 4 7 |
| Forename(s) | Anthony Philip | | | |
| Surname | HOPE | | | |
| | | | | |
| Address | Kemsdale House | | | |
| Usual residential address | Sandhurst Lane | | | |
| Post town | Rolvenden | | | |
| County / Region | Kent | | UK Postcode | TN17 4PQ |
| Country | United Kingdom | | Nationality | British |
| Business occupation | Insurance & Financial Services Executive | | | |

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | | | | | | |
|----------------------------------|---|---------------------|-------|------|---|-------------|----------|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | | | | | | | | |
| Date of birth | | 2 | 4 | 0 | 4 | 1 | 9 | 5 | 4 |
| Forename(s) | | Keith Louis Richard | | | | | | | |
| Surname | | JONES | | | | | | | |
| | | | | | | | | | |
| Address | | Robins Thatch | | | | | | | |
| | | | | | | | | | |
| Usual residential address | In the case of a corporation or a Scottish firm, give the registered or principal office address. | Woodland Drive | | | | | | | |
| Post town | | East Horsley | | | | | | | |
| County / Region | | Surrey | | | | UK Postcode | KT24 5AN | | |
| Country | | England | | | | Nationality | British | | |
| Business occupation | | Insurance Broker | | | | | | | |

* Voluntary details.

| | | | | | | | | | |
|----------------------------------|---|------------------|-------|------|---|-------------|----------|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | | | | | | | | |
| Date of birth | | 1 | 6 | 0 | 4 | 1 | 9 | 4 | 8 |
| Forename(s) | | Timothy | | | | | | | |
| Surname | | KEMP | | | | | | | |
| | | | | | | | | | |
| Address | | Rush Green | | | | | | | |
| | | | | | | | | | |
| Usual residential address | In the case of a corporation or a Scottish firm, give the registered or principal office address. | Hartfield Road | | | | | | | |
| Post town | | Forest Row | | | | | | | |
| County / Region | | Sussex | | | | UK Postcode | RH18 5DZ | | |
| Country | | England | | | | Nationality | British | | |
| Business occupation | | Insurance Broker | | | | | | | |

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | | | | | | |
|----------------------------------|--|---|-------|------|---|-------------|---|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | | | | | | | |
| | | 0 | 7 | 0 | 4 | 1 | 9 | 4 | 9 |
| | | Forename(s) | | | | | | | |
| | | Charles Edmund Royden | | | | | | | |
| | | Surname | | | | | | | |
| | | LEDSAM | | | | | | | |
| | | | | | | | | | |
| Address | | 75 Ware Road | | | | | | | |
| | | | | | | | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | | | | | | | |
| | | Hertford | | | | | | | |
| | | County / Region | | | | UK Postcode | | | |
| | | Herts | | | | SG13 7ED | | | |
| | | Country | | | | Nationality | | | |
| | | England | | | | British | | | |
| Business occupation | | Head of Human Resources, HSBC Insurance Group | | | | | | | |

* Voluntary details.

| | | | | | | | | | |
|----------------------------------|--|------------------|-------|------|---|-------------|---|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | | | | | | | |
| | | 1 | 5 | 1 | 0 | 1 | 9 | 5 | 0 |
| | | Forename(s) | | | | | | | |
| | | Peter John | | | | | | | |
| | | Surname | | | | | | | |
| | | NEWNHAM | | | | | | | |
| | | | | | | | | | |
| Address | | Hillside | | | | | | | |
| | | | | | | | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | | | | | | | |
| | | South Bank | | | | | | | |
| | | Hassocks | | | | | | | |
| | | County / Region | | | | UK Postcode | | | |
| | | West Sussex | | | | BN6 8JP | | | |
| | | Country | | | | Nationality | | | |
| | | England | | | | British | | | |
| Business occupation | | Insurance Broker | | | | | | | |

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | |
|----------------------------------|--|--------------|-------------|---------|
| Name | * Style / Title | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | | | |
| | Date of birth | Day | Month | Year |
| | | 1 | 0 | 0 |
| | | 8 | 1 | 9 |
| | | 5 | 5 | |
| | Forename(s) | Peter Duncan | | |
| | Surname | PLAYFORD | | |
| Address | 48 Wheeler Avenue | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | | | |
| | Post town | Oxted | | |
| | County / Region | Surrey | UK Postcode | RH8 9LE |
| | Country | England | Nationality | British |
| Business occupation | Insurance Broker | | | |

* Voluntary details.

| | | | | |
|----------------------------------|--|---------------|-------------|---------|
| Name | * Style / Title | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | | | |
| | Date of birth | Day | Month | Year |
| | | 2 | 5 | 1 |
| | | 0 | 1 | 9 |
| | | 6 | 0 | |
| | Forename(s) | Carol Ann | | |
| | Surname | RANGER | | |
| Address | 2 Elmscroft Gardens | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | | | |
| | Post town | Potters Bar | | |
| | County / Region | Hertfordshire | UK Postcode | EN6 2JR |
| | Country | England | Nationality | British |
| Business occupation | Divisional Managing Director | | | |

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | | | | | | |
|----------------------------------|--|-------------------|-------|------|---|-------------|---|---|---|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | | | | | | | |
| | | 0 | 1 | 0 | 6 | 1 | 9 | 4 | 3 |
| | | Forename(s) | | | | | | | |
| | | Dick Sang Raymond | | | | | | | |
| | | Surname | | | | | | | |
| | | SIT | | | | | | | |
| | | | | | | | | | |
| Address | | 15A Vienna Court | | | | | | | |
| | | | | | | | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | 41 Conduit Road | | | | | | | |
| | | Post town | | | | | | | |
| | | County / Region | | | | | | | |
| | | | | | | UK Postcode | | | |
| | | Country | | | | Nationality | | | |
| | | Hong Kong SAR | | | | British | | | |
| Business occupation | | Insurance Broker | | | | | | | |

* Voluntary details.

| | | | | | | | | | |
|----------------------------------|--|-----------------|-------|------|--|-------------|--|--|--|
| Name | * Style / Title | | | | | | | | |
| | | Day | Month | Year | | | | | |
| Directors | In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | | | | | | | |
| | | | | | | | | | |
| | | Forename(s) | | | | | | | |
| | | Surname | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Address | | | | | | | | | |
| | | | | | | | | | |
| Usual residential address | must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | | | | | | | |
| | | County / Region | | | | | | | |
| | | | | | | UK Postcode | | | |
| | | Country | | | | Nationality | | | |
| | | | | | | | | | |
| Business occupation | | | | | | | | | |

List of past and present shareholders

Schedule to form 363a

Company Number 149013

Company Name in full HSBC Insurance Brokers Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

| Shareholders' details | Class and number of shares or amount of stock held | Shares or amount of stock transferred (if appropriate) | |
|--|--|---|----------------------------------|
| | | Class and number of shares or amount of stock transferred | Date of registration of transfer |
| Name HSBC Gibbs Holdings Limited <hr/> Address 10 Lower Thames Street, London. <hr/> UK Postcode EC3R 6AE | Ordinary Shares 2,800,000 | | |
| Name <hr/> Address <hr/> UK Postcode | | | |
| Name <hr/> Address <hr/> UK Postcode | | | |