

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)



Companies House

A fee is payable with this form
Please see 'How to pay' on the
last page

You can use the WebFiling service to file this form online.
Please go to www.companieshouse.gov.uk

☒ **What this form is for**
You may use this form to confirm
that the company information is
correct as at the date of this return
You must file an Annual Return at
least once every year

☐ **What this form is NOT**
You cannot use this form to
notice of changes to the
officers, registered office
company type or information
relating to the company

SATURDAY



A13 17/03/2012 #303
COMPANIES HOUSE

Part 1

Company details

The section must be completed by all companies.

→ **Filing in this form**
Please complete in typescript or in
bold black capitals.

All fields are mandatory unless
specified or indicated by *

A1 Company details

Company number 0 0 0 5 2 0 9 9
Company name in full SA BRAIN & COMPANY LIMITED

① **Company name change**
If your company has recently
changed its name, please provide
the company name as at the date of
this return

A2 Return date

Please give the annual return made up date. The return date must not be a future
date. The annual return must be delivered within 28 days of the date given below.

If you would like the company's made up date to be earlier than 1 October 2011,
please complete the AR01 appropriate for earlier made up dates.

Date of this return 0 7 0 3 2 0 1 2

② **Date of this return**
Your company's return date
is usually the anniversary of
incorporation or the anniversary
of the last annual return filed at
Companies House. You may choose
an earlier return date but it must not
be a later date.

A3 Principal business activity

Please show the trade classification code number(s) for the principal
activity or activities ③

| | | | | | |
|-----------------------|---|---|---|---|---|
| Classification code 1 | 1 | 1 | 0 | 5 | 0 |
| Classification code 2 | 4 | 6 | 3 | 4 | 2 |
| Classification code 3 | 5 | 5 | 1 | 0 | 0 |
| Classification code 4 | 5 | 6 | 3 | 0 | 2 |

If you cannot determine a code, please give a brief description of your
business activity below:

Principal activity description
11050 - Manufacture of beer
46342 - Wholesale of wine, beer & other alcoholic beverages
55100 - Hotels and similar accommodation
56302 - Public houses and bars

③ **Principal business activity**
You must provide a trade
classification code (SIC code 2007)
or a description of your company's
main business in this section.

A full list of the trade classification
codes are available on our website
www.companieshouse.gov.uk

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Company type¹

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☐ Public limited company
☒ Private company limited by shares
☐ Private company limited by guarantee
☐ Private company limited by shares exempt under section 60
☐ Private company limited by guarantee exempt under section 60
☐ Private unlimited company with share capital
☐ Private unlimited company without share capital

¹ Company type

If you are unsure of your company type, please check your latest certificate of incorporation or our website
www.companieshouse.gov.uk

A5

Registered office address²

| | |
|----------------------|------------------------------|
| Building name/number | THE CARDIFF BREWERY |
| Street | PO BOX 53 CRAWSHAY STREET |
| Post town | CARDIFF |
| County/Region | |
| Postcode | C F 1 0 1 S P |

² Change of registered office

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

A6

Single alternative inspection location (SAIL) of the company records (if applicable)³

| | |
|----------------------|--|
| Building name/number | |
| Street | |
| Post town | |
| County/Region | |
| Postcode | |

³ SAIL address

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

A7

Location of company records⁴

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☐ Register of members
☐ Register of directors
☐ Directors' service contracts
☐ Directors' indemnities
☐ Register of secretaries
☐ Records of resolutions etc
☐ Contracts relating to purchase of own shares
☐ Documents relating to redemption or purchase of own share out of capital by private company
☐ Register of debenture holders
☐ Report to members of outcome of investigation by public company into interests in its shares
☐ Register of interests in shares disclosed to public company
☐ Instruments creating charges and register of charges England and Wales or Northern Ireland
☐ Instruments creating charges and register of charges Scotland

⁴ Location of company records

If the company records are held at the registered office address, do not tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

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Part 2**Officers of the company**

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

Continuation pages

Please use a continuation page if you need to enter more officer details

Secretary**B1****Secretary's details ①**

Please use this section to list all the secretaries of the company
For a corporate secretary, complete Section C1-C4.

| | |
|------------------|------------------|
| Title* | Mr |
| Full forename(s) | CHARLES NICHOLAS |
| Surname | BRAIN |
| Former name(s) ② | |

① Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

Corporate details

Please use Section C1-C4 to enter corporate secretary details.

Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

B2**Secretary's service address ③**

| | |
|----------------------|---------------------------------|
| Building name/number | THE COMPANY'S REGISTERED OFFICE |
| Street | |
| Post town | |
| County/Region | |
| Postcode | |
| Country | |

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Corporate secretary**C1 Corporate secretary's details ^①**

Please use this section to list all the corporate secretaries of the company

Corporate body/firm
name

Building name/number

Street

Post town

County/Region

Postcode

Country

① Corporate secretary appointments

You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.

Corporate secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.

This information will appear on the public record.

C2 Location of the registry of the corporate body or firm

Is the corporate secretary registered within the European Economic Area (EEA)?

→ Yes Complete **Section C3 only**→ No Complete **Section C4 only****C3 EEA companies ^②**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/
firm is registered ^③

Registration number

② EEA

A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

^③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

C4 Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the
corporate body
or firm

Governing law

If applicable, where
the company/firm is
registered ^④If applicable, the
registration number**④ Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

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Director

D1

Director's details ^①

| | | | | | | | | | | | |
|------------------------------|---|---|---|---|---|---|---|---|---|---|---|
| | Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4. | | | | | | | | | | |
| Title* | Mr | | | | | | | | | | |
| Full forename(s) | DAVID PETER | | | | | | | | | | |
| Surname | BONNEY | | | | | | | | | | |
| Former name(s) ^② | | | | | | | | | | | |
| Country/State of residence | UNITED KINGDOM | | | | | | | | | | |
| Nationality | BRITISH | | | | | | | | | | |
| Date of birth | d | 3 | 0 | m | 0 | 7 | y | 1 | 9 | 6 | 2 |
| Business occupation (if any) | DIRECTOR | | | | | | | | | | |

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address ^③

| | | | | | | | | | | | |
|----------------------|----------------------------------|--|--|--|--|--|--|--|--|--|--|
| Building name/number | THE COMPANY'S REGISTERED ADDRESS | | | | | | | | | | |
| Street | | | | | | | | | | | |
| Post town | | | | | | | | | | | |
| County/Region | | | | | | | | | | | |
| Postcode | | | | | | | | | | | |
| Country | | | | | | | | | | | |

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director**D1****Director's details ^①**

| | | | | | | | | | | | | | | | | |
|------------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4. | | | | | | | | | | | | | | | |
| Title* | Mr | | | | | | | | | | | | | | | |
| Full forename(s) | CHARLES NICHOLAS | | | | | | | | | | | | | | | |
| Surname | BRAIN | | | | | | | | | | | | | | | |
| Former name(s) ^② | | | | | | | | | | | | | | | | |
| Country/State of residence | UNITED KINGDOM | | | | | | | | | | | | | | | |
| Nationality | BRITISH | | | | | | | | | | | | | | | |
| Date of birth | d | 2 | d | 2 | m | 1 | m | 1 | y | 1 | y | 9 | y | 7 | y | 1 |
| Business occupation (if any) | NON-EXECUTIVE DIRECTOR & COMPANY SECRETARY | | | | | | | | | | | | | | | |

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2**Director's service address ^③**

| | | | | | | | | | | |
|----------------------|---------------------------------|--|--|--|--|--|--|--|--|--|
| Building name/number | THE COMPANY'S REGISTERED OFFICE | | | | | | | | | |
| Street | | | | | | | | | | |
| Post town | | | | | | | | | | |
| County/Region | | | | | | | | | | |
| Postcode | | | | | | | | | | |
| Country | | | | | | | | | | |

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

| | | |
|------------------------------|---|--|
| D1 | Director's details ^① | |
| | Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4 | |
| Title* | Mr | |
| Full forename(s) | RICHARD PETER | |
| Surname | DAVIES | |
| Former name(s) ^② | | |
| Country/State of residence | UNITED KINGDOM | |
| Nationality | BRITISH | |
| Date of birth | <div> <div>d</div> <div>d</div> <div>m</div> <div>m</div> <div>y</div> <div>y</div> <div>y</div> <div>y</div> </div> <div> <div>1</div> <div>3</div> <div>0</div> <div>8</div> <div>1</div> <div>9</div> <div>6</div> <div>0</div> </div> | |
| Business occupation (if any) | DIRECTOR | |

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

| | | |
|----------------------|--|--|
| D2 | Director's service address ^③ | |
| Building name/number | THE COMPANY'S REGISTERED OFFICE | |
| Street | | |
| Post town | | |
| County/Region | | |
| Postcode | <div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div> | |
| Country | | |

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

| | | |
|------------------------------|--|--|
| D1 | Director's details ^① | |
| | Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4 | |
| Title* | Mr | |
| Full forename(s) | PHILIP HENRY | |
| Surname | LAY | |
| Former name(s) ^② | | |
| Country/State of residence | UNITED KINGDOM | |
| Nationality | BRITISH | |
| Date of birth | <div> <div>d</div> <div>0</div> <div>d</div> <div>2</div> <div>m</div> <div>0</div> <div>m</div> <div>1</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>6</div> <div>y</div> <div>0</div> </div> | |
| Business occupation (if any) | DIRECTOR | |

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

| | | |
|----------------------|--|--|
| D2 | Director's service address ^③ | |
| Building name/number | THE COMPANY'S REGISTERED OFFICE | |
| Street | | |
| Post town | | |
| County/Region | | |
| Postcode | <div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div> | |
| Country | | |

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

| | | |
|------------------------------|--|--|
| D1 | Director's details ^① | |
| | Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4. | |
| Title* | Mr | |
| Full forename(s) | MARTIN STUART | |
| Surname | REED | |
| Former name(s) ^② | | |
| Country/State of residence | UNITED KINGDOM | |
| Nationality | BRITISH | |
| Date of birth | <div> <div>d</div> <div>d</div> <div>m</div> <div>m</div> <div>y</div> <div>y</div> <div>y</div> <div>y</div> </div> <div>16081960</div> | |
| Business occupation (if any) | ACCOUNTANT | |

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

| | | |
|----------------------|--|--|
| D2 | Director's service address ^③ | |
| Building name/number | THE COMPANY'S REGISTERED OFFICE | |
| Street | | |
| Post town | | |
| County/Region | | |
| Postcode | <div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div> | |
| Country | | |

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

| | | |
|------------------------------|---|--|
| D1 | Director's details ① | |
| | Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4 | |
| Title* | Mr | |
| Full forename(s) | JOHN FREDERICK WILLIAM | |
| Surname | RHYS | |
| Former name(s) ② | | |
| Country/State of residence | UNITED KINGDOM | |
| Nationality | BRITISH | |
| Date of birth | <div> <div>d</div> <div>d</div> <div>m</div> <div>m</div> <div>y</div> <div>y</div> <div>y</div> <div>y</div> </div> <div> <div>3</div> <div>0</div> <div>0</div> <div>6</div> <div>1</div> <div>9</div> <div>5</div> <div>8</div> </div> | |
| Business occupation (if any) | CHAIRMAN | |

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

| | | |
|----------------------|--|--|
| D2 | Director's service address ③ | |
| Building name/number | THE COMPANY'S REGISTERED OFFICE | |
| Street | | |
| Post town | | |
| County/Region | | |
| Postcode | <div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div> | |
| Country | | |

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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(For returns made up to a date on or **after 1 October 2011**)

Director

D1 Director's details ^①

| | | |
|------------------------------|--|--|
| | Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4. | |
| Title* | Mr | |
| Full forename(s) | JOHN SCOTT | |
| Surname | WADDINGTON | |
| Former name(s) ^② | | |
| Country/State of residence | UNITED KINGDOM | |
| Nationality | BRITISH | |
| Date of birth | d 1 d 5 m 0 m 5 y 1 y 9 y 6 y 2 | |
| Business occupation (if any) | CHIEF EXECUTIVE | |

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

Corporate details

Please use **Section E1-E4** to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address ^③

| | |
|----------------------|---------------------------------|
| Building name/number | THE COMPANY'S REGISTERED OFFICE |
| Street | |
| Post town | |
| County/Region | |
| Postcode | |
| Country | |

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Corporate director**E1 Corporate director's details ^①**

Please use this section to list all the corporate director's of the company

Corporate body/firm
name

Building name/number

Street

Post town

County/Region

Postcode

Country

① Corporate director appointments

You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.

Corporate director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.

This information will appear on the public record.

E2 Location of the registry of the corporate body or firm

Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete **Section E3 only**→ No Complete **Section E4 only****E3 EEA companies ^②**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/
firm is registered ^③

Registration number

② EEA

A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

E4 Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the
corporate body
or firm

Governing law

If applicable, where
the company/firm is
registered ^④If applicable, the
registration number**④ Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

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Part 3**Statement of capital ^①**

Does your company have share capital?

→ **Yes** Complete the sections below and the following **Part 4**→ **No** Go to **Part 5 (Signature)**.**①** This should reflect the company's capital status at the made up date of this annual return**F1****Share capital in pound sterling (£)**Please complete the table below to show each class of shares held in pound sterling
If all your issued capital is in sterling, only complete **Section F1** and then go to **Section F4**.

| Class of shares (E.g. Ordinary/Preference etc.) | Amount paid up on each share ^② | Amount (if any) unpaid on each share ^② | Number of shares ^③ | Aggregate nominal value ^④ |
|--|--|--|-------------------------------|--------------------------------------|
| ORDINARY | £1 00 | Nil | 973,426 | £ 973,426 |
| 4% (TAX FREE) CUM PREFERENC | £10 00 | Nil | 22,500 | £ 225,000 |
| 11% CUMULATIVE PREFERENCE | £1 00 | NIL | 1,376,320 | £ 1,376,320 |
| | | | | £ |
| Totals | | | 2,372,246 | £ 2,574,746 |

F2**Share capital in other currencies**Please complete the table below to show any class of shares held in other currencies
Please complete a separate table for each currency

| Currency | Class of shares (E.g. Ordinary/Preference etc.) | Amount paid up on each share ^② | Amount (if any) unpaid on each share ^② | Number of shares ^③ | Aggregate nominal value ^④ |
|----------|--|--|--|-------------------------------|--------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | Totals | | | | |

| Currency | Class of shares (E.g. Ordinary/Preference etc.) | Amount paid up on each share ^② | Amount (if any) unpaid on each share ^② | Number of shares ^③ | Aggregate nominal value ^④ |
|----------|--|--|--|-------------------------------|--------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | Totals | | | | |

F3**Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

| | |
|--|------------|
| Total number of shares | 2,372,246 |
| Total aggregate nominal value ^⑤ | £2,574,746 |

⑤ Total aggregate nominal value
Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc**②** Including both the nominal value and any share premium**③** Number of shares issued multiplied by nominal value of each share**④** Total number of issued shares in this class**Continuation Pages**

Please use a Statement of Capital continuation page if necessary

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

F4

Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Sections F1 and F2

Class of share

Ordinary

Voting rights

Each member at a meeting shall have one vote and will be conducted on a show of hands
On a poll every member who is present at a meeting or represented by a proxy will have one vote for every nominal amount of which he is a shareholder

Class of share

4% (Tax Free) Cumulative Preference Shares

Voting rights

Each member at a meeting shall have one vote and will be conducted on a show of hands
On a poll every member who is present at a meeting or represented by a proxy will have one vote for every nominal amount of which he is a shareholder
Shareholders shall not be entitled to have notice of or attend and general meeting of the Company
votes can be given either personally or by proxy A proxy need not be a member

Class of share

11% Cumulative Preference Shares

Voting rights

Each member at a meeting shall have one vote and will be conducted on a show of hands
On a poll every member who is present at a meeting or represented by a proxy will have one vote for every nominal amount of which he is a shareholder
Shareholders shall not be entitled to have notice of or attend and general meeting of the Company
votes can be given either personally or by proxy A proxy need not be a member

Class of share

Voting rights

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 4 Shareholders

Does your company have share capital?

- Yes go to Section G1 'Companies with share capital'
- No Go to Part 5 (Signature)

G1

Companies with share capital

Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below ①

- ☒ No go to Section G2 'Past and present shareholders'
- ☐ Yes go to Question 2.

Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to Section G2 'Past and present shareholders'

Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTR5 applies? Please tick the appropriate box below ②

- ☐ No go to Section G4 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'
- ☐ Yes go to Part 5 'Signature'

① A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognized investment exchanges and regulated markets can be found at www.fsa.gov.uk/register/exchanges.do

② DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%)

G2

List of past and present shareholders ③

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below

- ☐ There were no shareholder changes in this period Go to Part 5 (Signature)
- ☒ A full list of shareholders is enclosed
- ☐ A list of shareholder changes is enclosed

How is the list of shareholders enclosed Please tick the appropriate box below

- ☒ The list of shareholders is enclosed on paper Go to Section G3 'List of past and present shareholders'
- ☐ The list of shareholders is enclosed in another format Go to Part 5 (Signature).

③ This section only applies to companies answering 'No' in Section G1

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders¹

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

¹ Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

| Shareholder's Name (Address not required) | Class of share | Shares or stock currently held | Shares or stock transferred (if appropriate) | |
|---|----------------|--|--|-------------------------------------|
| | | Number of shares or amount of stock | Number of shares or amount of stock | Date of registration of transfer |
| See attached list of shareholders and their holding as at 07/03/12 | | | | / / |
| | | | | / / |
| | | | | / / |
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AR01

Annual Return

(For returns made up to a date on or **after 1 October 2011**)

G4

Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return

This section should show only the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return

It should only be completed by companies that have answered 'Yes' to Question 1 in Section G1, and 'No' to Question 2 in Section G1

If there were no shareholders holding at least 5% of any class of share(s) at the date of this return, this section may be left blank.

→ Go to Part 5 (Signature)

This section only applies to companies answering 'No' to Question 2 in Section G1

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return' continuation page if necessary

Shares or stock currently held

| Shareholder's name | Shareholder's address | Class of share | Number of shares or amount of stock |
|--------------------|-----------------------|----------------|-------------------------------------|
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AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 5

Signature

This must be completed by all companies.

I am signing this form on behalf of the company

Signature

Signature

X



X

This form may be signed by
Director ^①, Secretary, Person authorised ^②, Charity commission receiver and
manager, CIC manager, Judicial factor.

① Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

② Person authorised

Under either section 270 or 274 of the Companies Act 2006

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

| | |
|-----------------|-----------------------------|
| Contact name | Charles Brain |
| Company name | S A Brain & Company Limited |
| Address | The Cardiff Brewery |
| PO Box | 53 |
| Crawshay Street | |
| Post town | Cardiff |
| County/Region | |
| Postcode | C F 1 0 1 S P |
| Country | |
| DX | |
| Telephone | 029 2033 4806 |



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01
- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address.
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



Important information

Please note that all information on this form will appear on the public record



How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland:
The Registrar of Companies, Companies House,
Second Floor, The Linnenhall, 32-38 Linnenhall Street,
Belfast, Northern Ireland, BT2 8BG
DX 481 N R Belfast 1



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SHAREHOLDER NAMES & HOLDING AS AT 07/03/12

| Shareholder | Shareholding | Share Class |
|-------------------------------------|--------------|-------------|
| DAVID EDWARD BAKER Esq | 5000 | Ordinary |
| Dr JOHN FRANK WILLIAM BAKER | 275 | Ordinary |
| RICHARD DAVID BAKER Esq | 275 | Ordinary |
| S A BRAIN & Co Ltd P & B FUND | 33587 | Ordinary |
| Mrs YVETTE JULIA BAKER | 16883 | Ordinary |
| CHRISTOPHER EDWARD RHYS BAKER Esq | 275 | Ordinary |
| Miss NICOLA MARIE BAKER | 275 | Ordinary |
| TOM EDWARD GEORGE BLACK Esq | 1111 | Ordinary |
| Mrs JANET MARY BOGYOR | 11500 | Ordinary |
| CHRISTOPHER MICHAEL BRAIN Esq | 84460 | Ordinary |
| CHARLES NICHOLAS BRAIN Esq | 9729 | Ordinary |
| Mrs HAYLEY ELIZABETH BRAIN | 20085 | Ordinary |
| Mrs DIANNE MARY BRAIN | 7335 | Ordinary |
| Lt Col E M & MRS K M BRAIN | 25932 | Ordinary |
| ESTATE OF M B BRAIN D/D | 14800 | Ordinary |
| S A BRAIN GROUP PENSION SCHEME | 55331 | Ordinary |
| Miss CATHERINE ELIZABETH COOKE | 32376 | Ordinary |
| Miss FLORENCE H KRAMER | 50 | Ordinary |
| Miss RACHEL MARY COOKE | 500 | Ordinary |
| HUGO BLOUIN COOKE Esq | 100 | Ordinary |
| NIGEL TIMOTHY COOKE Esq | 75095 | Ordinary |
| RICHARD SEYMOUR COOKE Esq | 24309 | Ordinary |
| ALASTAIR RICHARD COOKE Esq | 500 | Ordinary |
| Miss HANNAH ELIZABETH COOKE | 500 | Ordinary |
| Mrs OLIVIA MARY DUCKNEY | 3 | Ordinary |
| Mrs ELIZABETH ANN DUCKNEY | 89693 | Ordinary |
| JOHN GERALD ANDREW DUCKNEY Esq | 19967 | Ordinary |
| Mrs SUSAN DUCKNEY | 239 | Ordinary |
| Mrs SALLY AVERIL RICHENDA FINDLAY | 69239 | Ordinary |
| Mrs AMANDA HILARY GILLARD | 900 | Ordinary |
| Mrs MARIE ELLEN GLAZZARD | 1100 | Ordinary |
| JOHN WILFRED GLAZZARD Esq | 1100 | Ordinary |
| Mrs RUTH MARGARET MARY GRAY | 19967 | Ordinary |
| MALCOLM EDWARD JAMES Esq | 100 | Ordinary |
| Mrs VALERIE JAMES | 1825 | Ordinary |
| JEREMY SUGDEN MORGAN JONES Esq | 20500 | Ordinary |
| RONALD PATRICK MORGAN JONES Esq | 25800 | Ordinary |
| Mrs LUCINDA CAROLINE MACGINNIS | 25438 | Ordinary |
| NIALL ANDREW M MACGINNIS Esq | 20 | Ordinary |
| GILES MICHAEL MORGAN Esq | 24 | Ordinary |
| Mrs KATHARINE MARY MARTIN | 19967 | Ordinary |
| Mrs HILARY VERA MAY-HILL | 8900 | Ordinary |
| RICHARD CLIVE MAY-HILL Esq | 14800 | Ordinary |
| RUPERT RICHARD ANDREW MAY-HILL Esq | 1300 | Ordinary |
| Mrs VICTORIA MARY MORGAN | 24809 | Ordinary |
| Miss CATHERINE JULIA OWEN | 50 | Ordinary |
| DAVID WILLIAM OWEN Esq | 50 | Ordinary |
| Mrs JANE CAROLINE OWEN | 17323 | Ordinary |
| Mrs SARAH JANE JEEPS | 50 | Ordinary |
| WILLIAM THOMAS OWEN Esq | 70 | Ordinary |
| MICHAEL PETER OWEN Esq | 50 | Ordinary |
| Miss CHRISTY PALMER | 16000 | Ordinary |
| Mrs STEPHANIE BARBARA PALMER | 23988 | Ordinary |
| Mrs CATRIONA MARY RHYS | 6200 | Ordinary |
| JOHN FREDERICK WILLIAM RHYS Esq | 12584 | Ordinary |
| WILLIAM ESCOTT RHYS Esq | 23285 | Ordinary |
| Mr WILLIAM FREDERICK ALEXANDER RHYS | 15 | Ordinary |
| Mrs GERALDINE RICHARDS | 200 | Ordinary |
| IAN MARSHALL RICHARDS Esq | 410 | Ordinary |
| DAVID CHARLES SCHOFIELD Esq | 475 | Ordinary |
| Mrs NICHOLA JILL SCHOFIELD | 7776 | Ordinary |
| IAN HUTCHINSON STURROCK Esq | 500 | Ordinary |

| | | |
|-------------------------------------|--------|-------------------------------------|
| Mrs JANET MARY STURROCK | 2500 | Ordinary |
| NEIL HUTCHINSON STURROCK Esq | 2400 | Ordinary |
| Dr ELIZABETH ANNE WILSON | 13727 | Ordinary |
| F M J WILSON TRUST | 130 | Ordinary |
| Mrs JUDITH MYRA WILSON | 4390 | Ordinary |
| HARRY ESCOTT JOHN WILSON Esq | 1300 | Ordinary |
| PETER JOHN WILSON Esq | 12052 | Ordinary |
| RICHARD VERNON WILSON Esq | 13727 | Ordinary |
| THOMAS EDWARD VERNON WILSON Esq | 1300 | Ordinary |
| Mrs VIOLET JILL WILSON | 46900 | Ordinary |
| S A BRAIN & Co Ltd P & B FUND | 10376 | 4% (Tax Free) Cumulative Preference |
| JAMES SHEPHERD BARRETT Esq | 10 | 4% (Tax Free) Cumulative Preference |
| COLIN HENRY BERNHARDT Esq | 20 | 4% (Tax Free) Cumulative Preference |
| Mrs JANET MARY BOGYOR | 83 | 4% (Tax Free) Cumulative Preference |
| DAVID PETER BONNEY Esq | 10 | 4% (Tax Free) Cumulative Preference |
| CHRISTOPHER MICHAEL BRAIN Esq. | 646 | 4% (Tax Free) Cumulative Preference |
| Mrs DIANNE MARY BRAIN | 297 | 4% (Tax Free) Cumulative Preference |
| Mrs ELSIE VIOLET SHEILA BRAIN | 15 | 4% (Tax Free) Cumulative Preference |
| ESTATE OF M B BRAIN D/D | 138 | 4% (Tax Free) Cumulative Preference |
| Miss CATHERINE ELIZABETH COOKE | 70 | 4% (Tax Free) Cumulative Preference |
| DAVID COPUS Esq | 7 | 4% (Tax Free) Cumulative Preference |
| NIGEL TIMOTHY COOKE Esq | 153 | 4% (Tax Free) Cumulative Preference |
| RICHARD SEYMOUR COOKE Esq | 110 | 4% (Tax Free) Cumulative Preference |
| RICHARD BARRY CUNNINGHAM Esq | 10 | 4% (Tax Free) Cumulative Preference |
| Mrs JANET MARION DAVIES | 1000 | 4% (Tax Free) Cumulative Preference |
| RICHARD PETER DAVIES Esq | 10 | 4% (Tax Free) Cumulative Preference |
| Mrs ELIZABETH ANN DUCKNEY | 560 | 4% (Tax Free) Cumulative Preference |
| GRAHAM HAMLYN JOHNSON Esq | 82 | 4% (Tax Free) Cumulative Preference |
| ROBERT VANE JOHNSON Esq | 12 | 4% (Tax Free) Cumulative Preference |
| WYNNE D A JONES Esq | 200 | 4% (Tax Free) Cumulative Preference |
| Ms ADRIA NANCY JONES | 130 | 4% (Tax Free) Cumulative Preference |
| DAVID MANSEL JONES Esq | 27 | 4% (Tax Free) Cumulative Preference |
| JAMES KERR Esq | 10 | 4% (Tax Free) Cumulative Preference |
| PHILIP HENRY LAY Esq | 10 | 4% (Tax Free) Cumulative Preference |
| JOHN NORTH LEWIS Esq A/C ABNL | 6 | 4% (Tax Free) Cumulative Preference |
| LADY JOAN ANNE LLEWELLYN | 125 | 4% (Tax Free) Cumulative Preference |
| PETER DAVID MORGAN Esq | 12 | 4% (Tax Free) Cumulative Preference |
| PHILIP ROBERT MOORE Esq | 10 | 4% (Tax Free) Cumulative Preference |
| Mrs STEPHANIE BARBARA PALMER | 1305 | 4% (Tax Free) Cumulative Preference |
| CHRISTOPHER ANTONY PARR Esq. | 46 | 4% (Tax Free) Cumulative Preference |
| Miss JANET EVELYN CHURCHILL PAYNE | 750 | 4% (Tax Free) Cumulative Preference |
| MARTIN STUART REED Esq | 10 | 4% (Tax Free) Cumulative Preference |
| WILLIAM ESCOTT RHYS Esq | 92 | 4% (Tax Free) Cumulative Preference |
| Dr MARTIN JAMES RAFTER | 170 | 4% (Tax Free) Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1000 | 4% (Tax Free) Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1000 | 4% (Tax Free) Cumulative Preference |
| IAN MARSHALL RICHARDS Esq | 40 | 4% (Tax Free) Cumulative Preference |
| MLM CARTWRIGHT SOLICITORS | 375 | 4% (Tax Free) Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1250 | 4% (Tax Free) Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1250 | 4% (Tax Free) Cumulative Preference |
| MICHAEL ANTHONY JOHN SALTER Esq | 10 | 4% (Tax Free) Cumulative Preference |
| Mrs BRENDA SELBY | 1053 | 4% (Tax Free) Cumulative Preference |
| JOHN SCOTT WADDINGTON Esq | 10 | 4% (Tax Free) Cumulative Preference |
| Mrs E M ALLEN | 1666 | 11% Cumulative Preference |
| S A BRAIN & Co Ltd P & B FUND | 100000 | 11% Cumulative Preference |
| R N & F M L BALDWIN | 8500 | 11% Cumulative Preference |
| Mrs JANE CAROLINE BALLANTYNE | 1000 | 11% Cumulative Preference |
| JOHN HILARY BATES Esq | 1250 | 11% Cumulative Preference |
| DENIS JAMES BUTTERWORTH Esq. | 3000 | 11% Cumulative Preference |
| STEPHEN HOWARTH BLEAKLEY Esq | 10000 | 11% Cumulative Preference |
| CHRISTOPHER JAMES FREDERICK BUCKLEY | 1562 | 11% Cumulative Preference |
| RICHARD WILLIAM BUCKLEY Esq | 1562 | 11% Cumulative Preference |
| PHILIP JAMES BUCKLEY Esq | 1562 | 11% Cumulative Preference |
| ROBERT FREDERICK BUCKLEY Esq | 1562 | 11% Cumulative Preference |
| HARRY BIRTWHISTLE Esq | 9000 | 11% Cumulative Preference |

| | | |
|-------------------------------------|--------|---------------------------|
| IAIN CHRISTOPHER BLAKEMORE Esq | 2667 | 11% Cumulative Preference |
| Mrs JOANNA H BLAKEMORE | 2667 | 11% Cumulative Preference |
| THOMAS MALCOLM BLAKEMORE Esq. | 2666 | 11% Cumulative Preference |
| JOHN KEIRAN BLAND Esq. | 3900 | 11% Cumulative Preference |
| BLENCATHRA LIMITED | 8000 | 11% Cumulative Preference |
| Mrs JOAN BOLTON | 700 | 11% Cumulative Preference |
| Mrs JILL MARGARET BONNEY | 8500 | 11% Cumulative Preference |
| CHRISTOPHER MICHAEL BRAIN Esq | 10000 | 11% Cumulative Preference |
| CHARLES NICHOLAS BRAIN Esq | 2500 | 11% Cumulative Preference |
| NORMAN BUCKLEY Esq | 3000 | 11% Cumulative Preference |
| RICHARD HERBERT BUNN Esq | 593 | 11% Cumulative Preference |
| Mrs BARBARA ELIZABETH CALROW | 50 | 11% Cumulative Preference |
| Mrs SONIA CAPLAN | 4000 | 11% Cumulative Preference |
| Mrs SHEILA CATTERALL | 1500 | 11% Cumulative Preference |
| Mrs ANNE ELIZABETH COOKE | 5001 | 11% Cumulative Preference |
| CHARLEVILLE HOLDINGS LIMITED | 2222 | 11% Cumulative Preference |
| MRS SALLY CLAIRE CARLEY | 3000 | 11% Cumulative Preference |
| Mrs BRIONY FIONA HILARY COOMBER | 3000 | 11% Cumulative Preference |
| DONALD DARBY Esq | 2000 | 11% Cumulative Preference |
| WILLIAM ALAN DAVENPORT Esq | 10000 | 11% Cumulative Preference |
| Mrs JANET MARION DAVIES | 1000 | 11% Cumulative Preference |
| RICHARD PETER DAVIES Esq | 1000 | 11% Cumulative Preference |
| Mrs ELIZABETH ANN DUCKNEY | 1100 | 11% Cumulative Preference |
| Mrs ELISABETH M EDWARDS | 1250 | 11% Cumulative Preference |
| Mrs DIANA MARIE EVANS | 3000 | 11% Cumulative Preference |
| ROBERT MILLNER EDWARDS Esq | 1250 | 11% Cumulative Preference |
| Mrs SALLY AVERIL RICHENDA FINDLAY | 31039 | 11% Cumulative Preference |
| GILTSPUR NOMINEES LIMITED A/C BUNS | 14000 | 11% Cumulative Preference |
| Mrs JULIE YVONNE GERRARD | 1750 | 11% Cumulative Preference |
| Miss JANE GARNETT | 500 | 11% Cumulative Preference |
| GOODBODY STOCKBROKERS NOMINEES LTD | 264998 | 11% Cumulative Preference |
| CHRISTOPHER GREEN Esq | 4000 | 11% Cumulative Preference |
| Mrs JEAN HALSTEAD | 15000 | 11% Cumulative Preference |
| CHARLES ROBERT HARGREAVES Esq | 1000 | 11% Cumulative Preference |
| Mrs HELEN MARGARET HARGREAVES | 1000 | 11% Cumulative Preference |
| HENRY HALSTEAD Esq | 5000 | 11% Cumulative Preference |
| Mrs DORIS HAMILTON | 4200 | 11% Cumulative Preference |
| JAMES ANTONY HARRISON - Dec'd | 20000 | 11% Cumulative Preference |
| Mrs BARBARA HIGSON | 2500 | 11% Cumulative Preference |
| Mrs PAULINE ELIZABETH HOUGH | 3500 | 11% Cumulative Preference |
| Ms JENNIFER MARY HOLLAND | 2500 | 11% Cumulative Preference |
| HARGREAVE HALE NOMINEES LIMITED | 10000 | 11% Cumulative Preference |
| NEIL JONES Esq | 1000 | 11% Cumulative Preference |
| SIDNEY JONES Esq | 1200 | 11% Cumulative Preference |
| JOHN DAVID KAY Esq | 9597 | 11% Cumulative Preference |
| PHILLIP STUART KAY - Deceased | 1000 | 11% Cumulative Preference |
| Mrs VERA KAY | 7375 | 11% Cumulative Preference |
| Mrs MARION RUTH KAY | 500 | 11% Cumulative Preference |
| Mr GORDON LOMAX & Mrs VALERIA LOMAX | 1500 | 11% Cumulative Preference |
| PHILIP HENRY LAY Esq | 1000 | 11% Cumulative Preference |
| CLIVE WILLIAM LIDSTONE Esq MBE | 5000 | 11% Cumulative Preference |
| DONALD GILBERT LIDSTONE Esq | 7500 | 11% Cumulative Preference |
| Mrs JUNE ELEANOR LIDSTONE | 4000 | 11% Cumulative Preference |
| Ms SUSAN JANE LEES | 2200 | 11% Cumulative Preference |
| Ms VALERIE ROSAMOND MADEN | 8000 | 11% Cumulative Preference |
| MASONIC TRUST COMPANY | 30000 | 11% Cumulative Preference |
| Mrs HILARY VERA MAY-HILL | 25000 | 11% Cumulative Preference |
| RICHARD CLIVE MAY-HILL Esq | 25000 | 11% Cumulative Preference |
| JAMES & Mrs MARGARET McLEAN McCRAE | 10000 | 11% Cumulative Preference |
| Ms MARCIA MACGREGOR | 4000 | 11% Cumulative Preference |
| JOHN ARNOLD MILNE Esq | 2500 | 11% Cumulative Preference |
| MURPHIE WALKER LTD | 5000 | 11% Cumulative Preference |
| Mrs PATRICIA ANN NOLAN | 4000 | 11% Cumulative Preference |
| DAVID ANTHONY NORMAN Esq | 4000 | 11% Cumulative Preference |
| Mrs STEPHANIE BARBARA PALMER | 24628 | 11% Cumulative Preference |

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|------------------------------------|-------|---------------------------|
| Mrs SALLY JANE PHILLIPS | 1667 | 11% Cumulative Preference |
| Mrs ELEANOR GAYE PEDLEY | 1566 | 11% Cumulative Preference |
| MICHAEL FREDRICK PEDLEY Esq. | 1562 | 11% Cumulative Preference |
| Mrs HELEN PARTON | 3750 | 11% Cumulative Preference |
| Mrs JACQUELINE MARGARET PARRY | 3000 | 11% Cumulative Preference |
| RICHARD ARTHUR HERBERT PERKINS Esq | 15000 | 11% Cumulative Preference |
| Mrs VIRGINIA MAY LINDSAY POTTS | 6000 | 11% Cumulative Preference |
| Mrs JANE MARGARET PRESTWICH | 1250 | 11% Cumulative Preference |
| ROBERT GEOFFREY PRESTWICH Esq | 1667 | 11% Cumulative Preference |
| Ms JUDITH HELEN PERRY | 4000 | 11% Cumulative Preference |
| PENGARD LIMITED A/C PENSION | 34500 | 11% Cumulative Preference |
| DAVID THOMAS PEDLEY Esq | 1562 | 11% Cumulative Preference |
| JAMES JOHN PEDLEY Esq | 1562 | 11% Cumulative Preference |
| MARTIN STUART REED Esq | 1000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 3500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1112 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2222 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 6000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2222 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 9100 | 11% Cumulative Preference |
| RENSBURG CLIENT NOMINEES LIMITED | 16000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 4000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1750 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 3000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 17500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 4500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMIED | 3000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 3000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 4000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 11500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1250 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 7500 | 11% Cumulative Preference |
| ROCK (NOMINEES) LIMITED | 2500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 47000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 17000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1111 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 16000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| JOHN RICHARDS Esq | 3000 | 11% Cumulative Preference |
| ROCK (NOMINEES) LIMITED | 4500 | 11% Cumulative Preference |
| CHARLES WILLIAM ROGER Esq | 6000 | 11% Cumulative Preference |
| Mrs MARY ROSCOE | 3000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 19000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 3000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 41200 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 11250 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 3000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5500 | 11% Cumulative Preference |

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| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 15000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 3100 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 2222 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 15000 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1500 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 1111 | 11% Cumulative Preference |
| RULEGALE NOMINEES LIMITED | 5000 | 11% Cumulative Preference |
| Mrs SHEILA NEILSON SACHS | 13500 | 11% Cumulative Preference |
| TIMOTHY JAMES SILVESTER Esq | 4750 | 11% Cumulative Preference |
| Miss EMILY JOANNE SILVESTER | 4750 | 11% Cumulative Preference |
| PHILIP DAVID SALTER Esq | 17500 | 11% Cumulative Preference |
| PETER SCHOFIELD Esq | 4000 | 11% Cumulative Preference |
| Ms JUDITH ANNE SMITH | 2500 | 11% Cumulative Preference |
| Mrs MARY KATHLEEN SHEPPARD | 1341 | 11% Cumulative Preference |
| PETER H & Mrs MONICA E SMALLEY | 3000 | 11% Cumulative Preference |
| ROBERT DAVIES SPEDDING Esq | 4500 | 11% Cumulative Preference |
| Mrs BERYL MARGARET SPOONER | 2500 | 11% Cumulative Preference |
| Mrs JEAN STATON | 1000 | 11% Cumulative Preference |
| Mrs CHARLOTTE STRAKER | 8000 | 11% Cumulative Preference |
| PETER LIONEL THOMAS Esq | 4220 | 11% Cumulative Preference |
| RONALD F TURNER & Mrs JUNE R TURNER | 5000 | 11% Cumulative Preference |
| Mrs JOAN LESLEY THOMPSON | 4444 | 11% Cumulative Preference |
| 1112 CHARLEVILLE HOLDINGS LIMITED | 1112 | 11% Cumulative Preference |
| JOHN SCOTT WADDINGTON Esq | 1000 | 11% Cumulative Preference |
| GORDON STUART WALKER Esq | 5000 | 11% Cumulative Preference |
| MRS PATRICIA WITHYCOMBE | 3000 | 11% Cumulative Preference |
| TD WATERHOUSE NOMINEES (EUROPE) LTD | 2250 | 11% Cumulative Preference |
| WATERHOUSE NOMINEES LIMITED | 16000 | 11% Cumulative Preference |
| Mrs SANDRA VALERIE WISEMAN | 6000 | 11% Cumulative Preference |